

# THE LAYERS OF RFI MITIGATION

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# The Objectives

- Mitigation aimed at wanted signals in non-RAS bands
- Mitigation not aimed at unwanted signals in RAS bands
  - not an excuse for unwanted emissions
- All mitigation of RFI in the data itself leads to Data Loss
- Prevention better than mitigation
- The earlier - the better
  - This gives less downstream system cost and complexity
- Mitigation only possible for significant INR
- Require integration (further into process) to deal with weak RFI
- Prevent RFI or mitigate RFI in data or delete bad data

- Extensively use
  - Fridman & Baan (2001)
  - Kesteven (2009)
  - ITU-R RA.2126 (2007)
- 
- RFI 2010 Proceedings (Baan 2010)
  - RFI 2010 presentations <http://www.astron.nl/rfi>
  - RFI 2010 to be published online (Proceedings of Science)

# RFI signatures

- **Type of radio telescope**
  - single dish telescopes
  - connected interferometry (Array RT)
  - Very Long Baseline Interferometry
- RFI (in-)coherently enters the system  $\Rightarrow$  baseline dependence  $\Rightarrow$  calibration affected by RFI noise power
- **The type of observations**
  - continuum or spectral line
  - continuum observations can sacrifice certain time slots
  - spectral line damage depends on location of RFI
- **Type of RFI**
  - Impulsive bursts, narrow- or wide-band
  - superposition of patterns

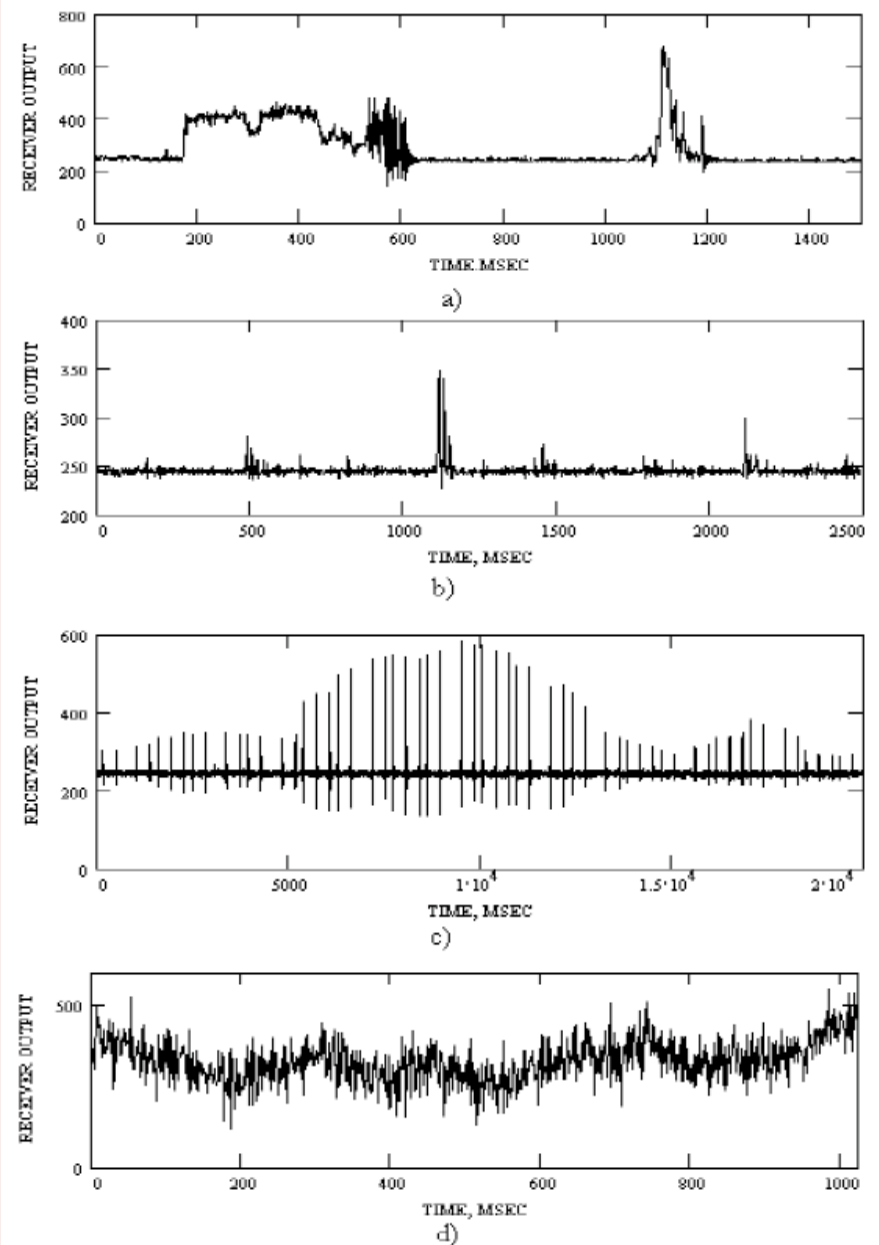


Fig. 1. Examples of *RFI* waveforms in the receiver output versus time: a) and b) impulse-like *RFI*; c) radar impulses; d) narrow-band *RFI*.

# Sources of RFI

- Satellite RFI
  - Some sources always present
  - Main-beam coupling - example Cloudsat at 94 GHz
  - Side-lobe coupling
- Aircraft RFI
  - Direct emissions (mobile and network traffic)
  - Reflection of ground-based transmissions
- Ground-based RFI
  - Tracking population density (remote sites)
  - Reflecting windmills
- Observatory-based RFI
  - Computing and electronics
  - Screen or eliminate sources

# Evaluation of methodologies

- What is level of suppression of the RFI signal ?
- What is loss of signal-of-interest (SOI) as a result of RFI mitigation and the amount of data loss ?
  - 1. Interference to noise ratio INR (how much RFI dominates the noise)
  - 2. Occupied bandwidth => ratio of SOI bandwidth and RFI bandwidth
  - 3. Processing gain after RFI suppression => ratio of SNR(after) and SNR(before)
  - 4. Loss from RFI processing => ratio of SNR(after) and SNR(no RFI)

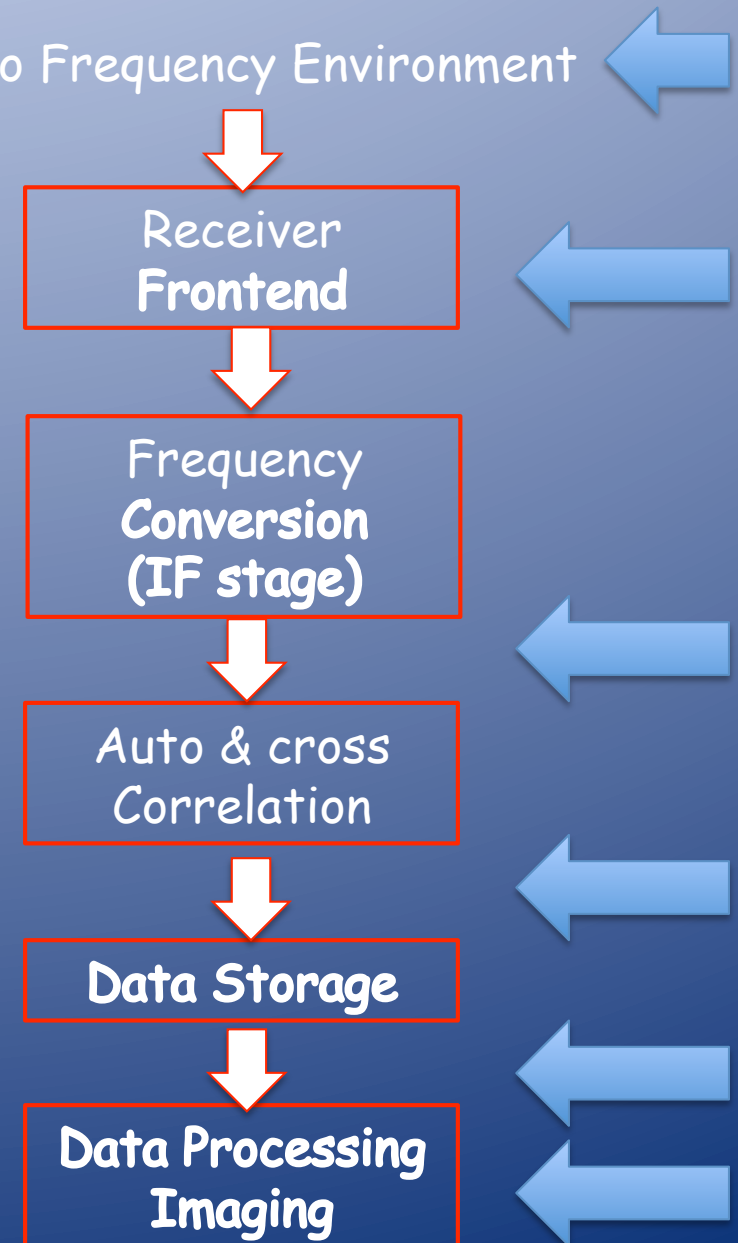
# Reality Check

- ITU Standards for spurious and out-of-band (unwanted) emissions not sufficient for protection passive services
- General philosophy: Rather than RFI prevention better to fill the spectrum with users and afterwards solve conflict issues
  - RAS generally first victim
- Increasing RAS bandwidth use at lower & higher frequencies
  - covering RAS allocated bands
  - covering non-allocated and non-protected bands
- Increasing intensity and density of spectrum use increases OOB and spurious emissions
- Introduction of spread-spectrum broadband systems
  - low-power but unlicensed & mass-produced devices
  - requires creative solutions to protect RAS bands (spectrum masks not adequate)

# Telescope Systems

- Mitigation at different stages in the process
- Different techniques at different stages
- Integration starts at Correlation  
=> RFI has more S/N
- Places in the data flow where RFI mitigation can be inserted

Radio Frequency Environment

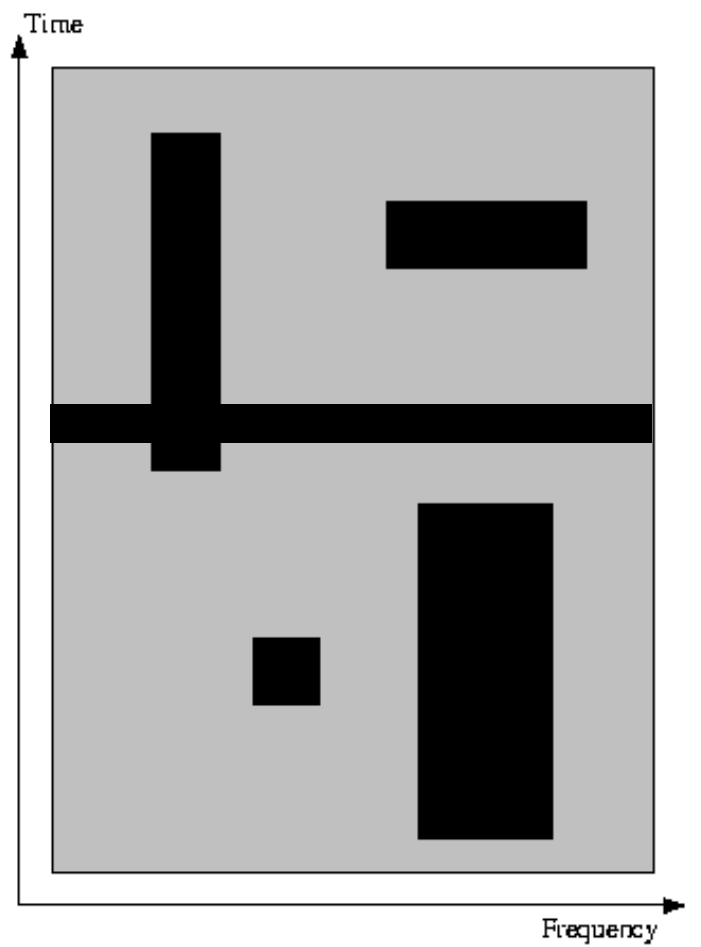


# Steps of Mitigation

- **Pro-active & Pre-detection**
  - coordination & regulation
  - know the enemy - spectral monitoring
- **Pre-detection & post-detection**
  - filtering, temporal excision (blanking - in correlator), and anti-coincidence (Array systems)
- **Pre-correlation**
  - ANC, antenna-based digital processing, spatial nulling
- **At correlation**
  - SD => baseband processing (before or during data stacking)
  - Arrays => anti-coincidence & digital processing & reference antenna
- **Post correlation**
  - SD => flagging, reference spectrum
  - Arrays => flagging, use fringe stopping, identify/subtract non-celestial RFI sources

## Pro-Active ⇔ Pre-Detection

- Establish coordination & quiet zones
  - use natural terrain
  - examples are GBQZ, WA Quiet Zone, SA Science Reserve, ALMA QZ & PRCZ
- Use national regulations
  - example of new NL Telecom Law - scientific use of the spectrum classified as *essential government use*
- Maintain & improve existing regulation (ITU-R RA.769 & RA.1513 & others)
- Solve issues before implementation (Ch 54 at Arecibo)
- Know your spectrum neighbors - prevention
- Clean up your own observatory act



## Time-Frequency Diagrams

Leeheim IRD-82 (MAR 2010)  
single passage (Jessner)

### *Excising in temporal and frequency domain*

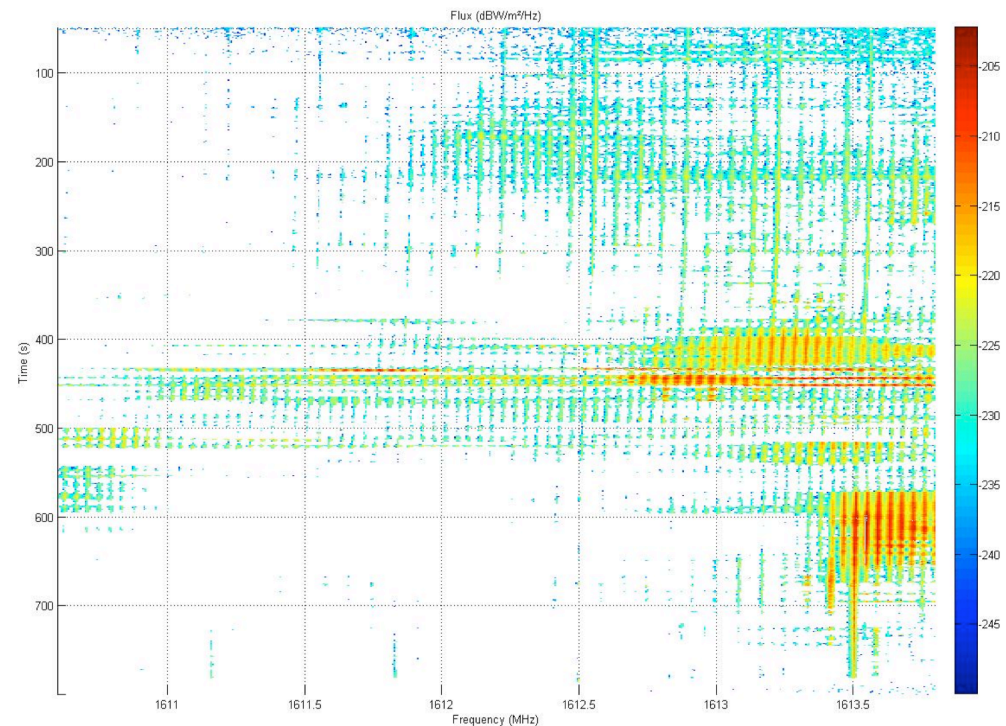
- RFI strong & short => not weak and persistent
- if intermittent & no info in rejected channels
- data loss

**Cancellation** => subtraction RFI from output

- no impact on science = no data loss

**Anti-coincidence** => using widely separated RTs

- adds to the noise

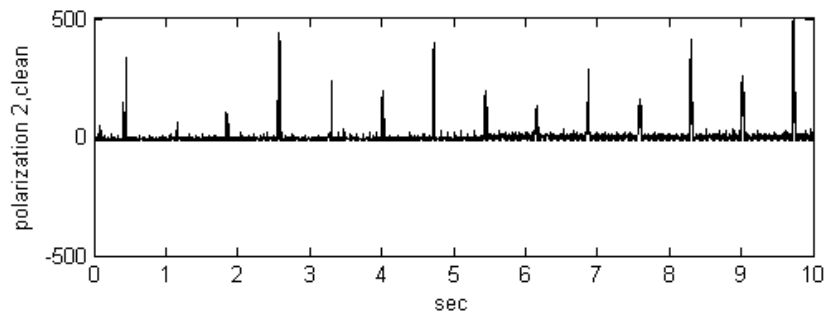
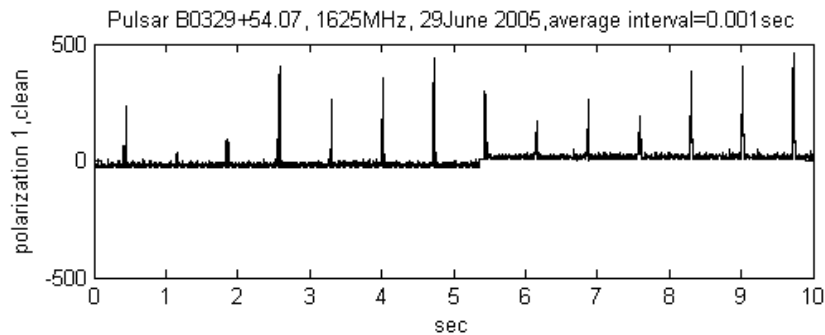
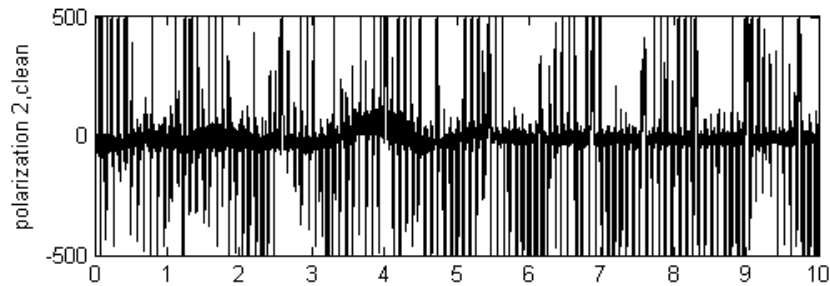
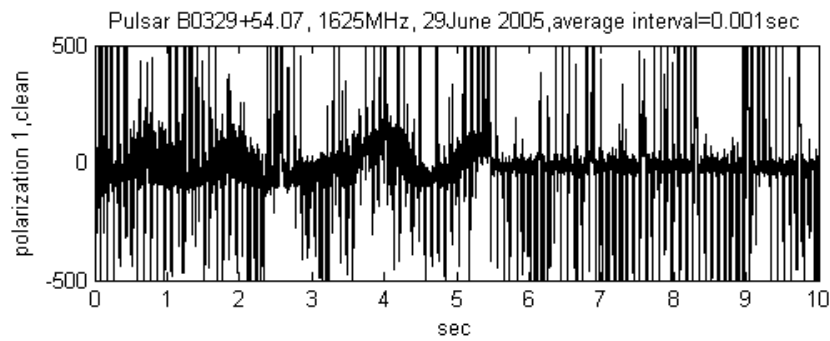


# Pre-Detection & Post-Detection

- filtering, *temporal excision* (blanking - in correlator), and *anti-coincidence* (array systems)
  - => data loss and reduction of signal quality
- Robust receivers - receiver linearity
- Filtering results in insertion losses and raises  $T_{sys}$ 
  - more significant if close to the band-edge
- Super-conducting filter technology - under development
- Single RT => blanking or stopping correlator
  - example: Arecibo - SJU airport L-band radar and others
  - terrain and multi-path broadens RFI time-window
  - works well for periodic & impulsive signals
  - broad-band possible if linear system & no aliasing

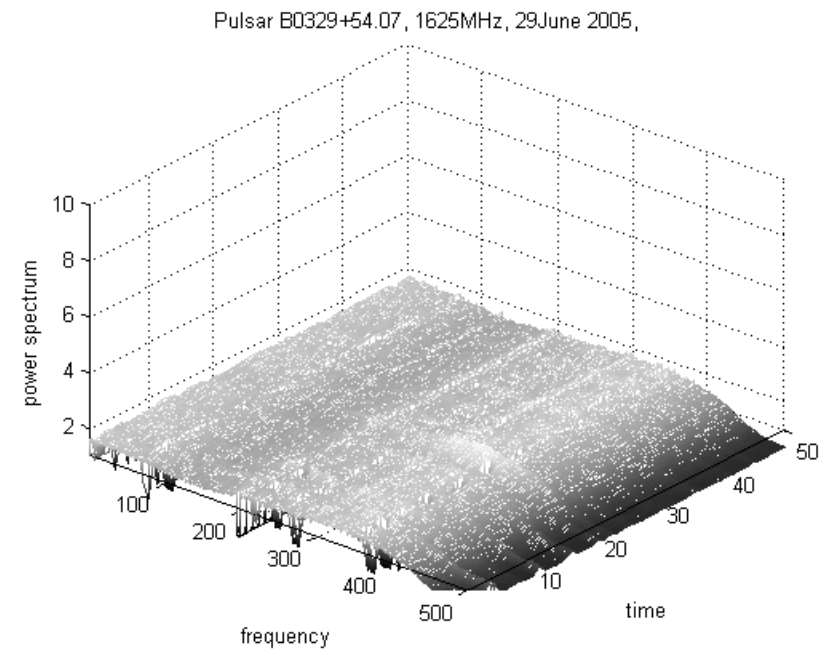
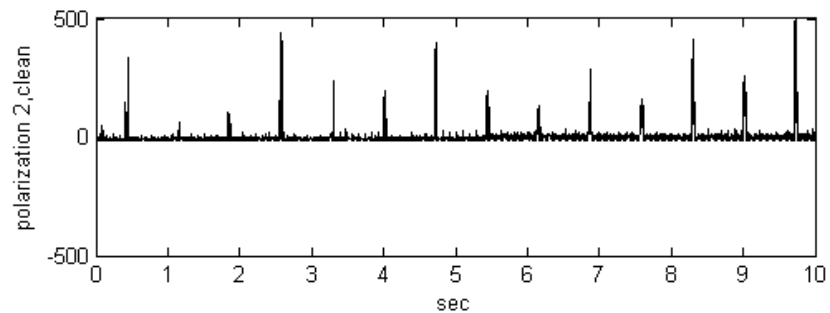
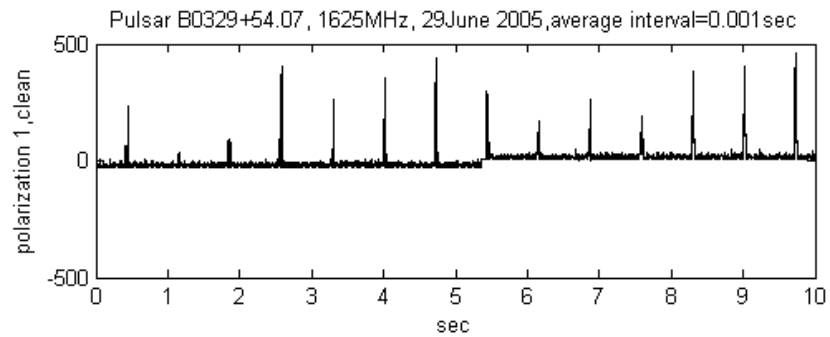
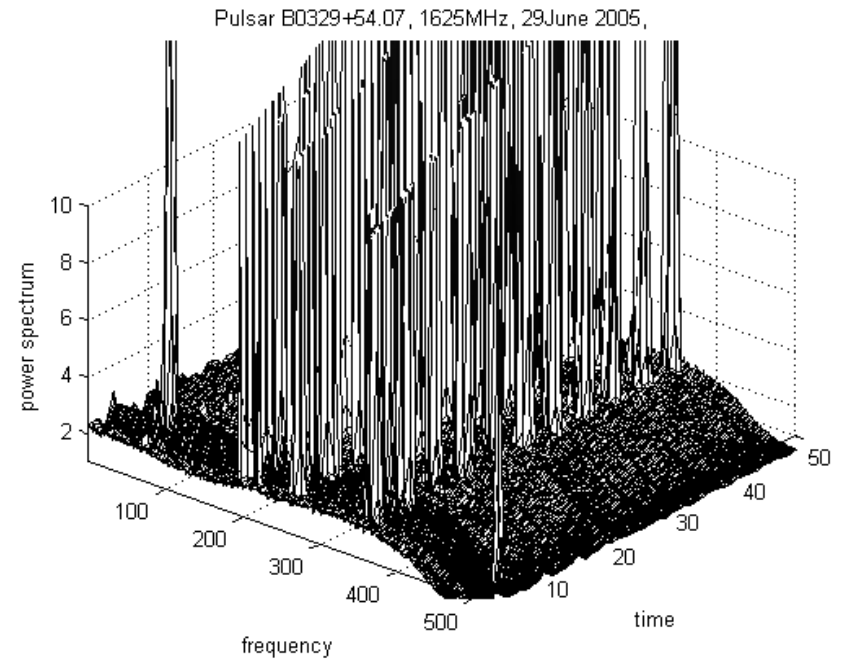
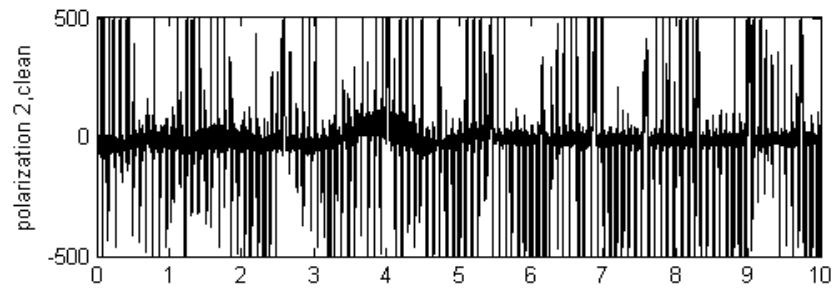
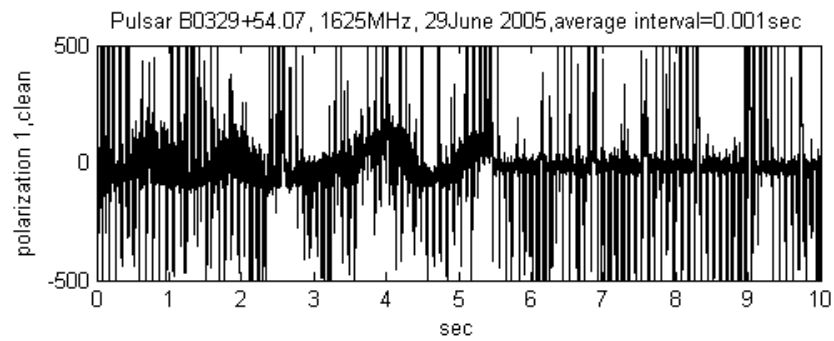
# Pulsars and Iridium

SW processing in Westerbork  
PUMA II system  
standard excision algorithms

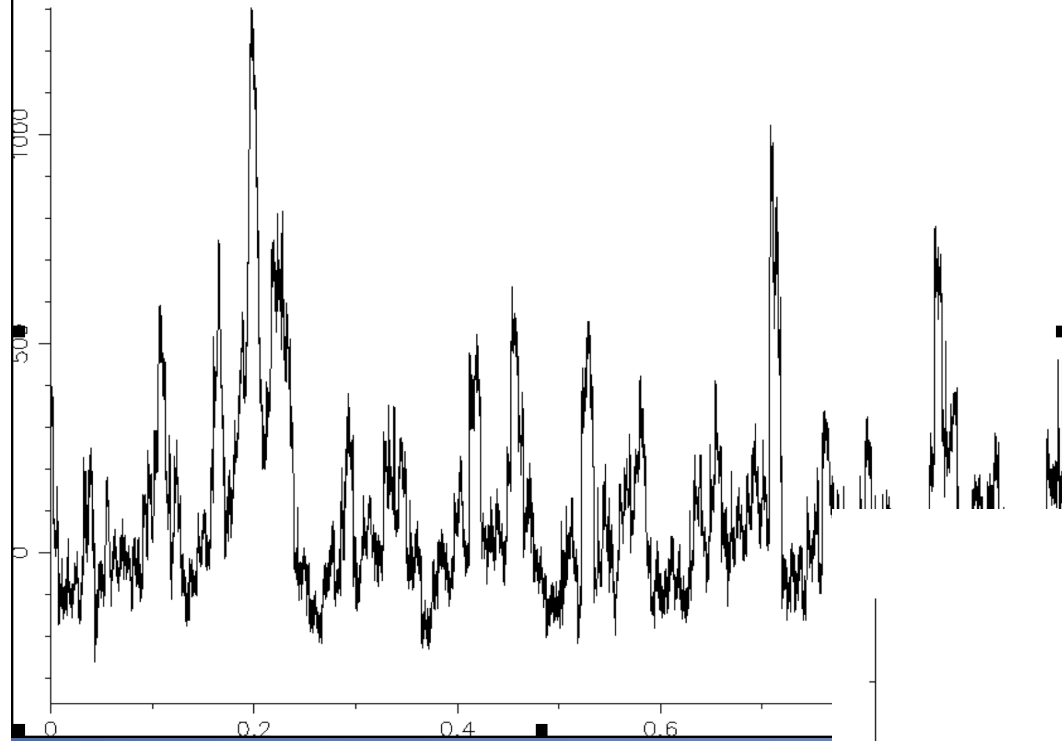


# Pulsars and ...

SW  
PUM  
same

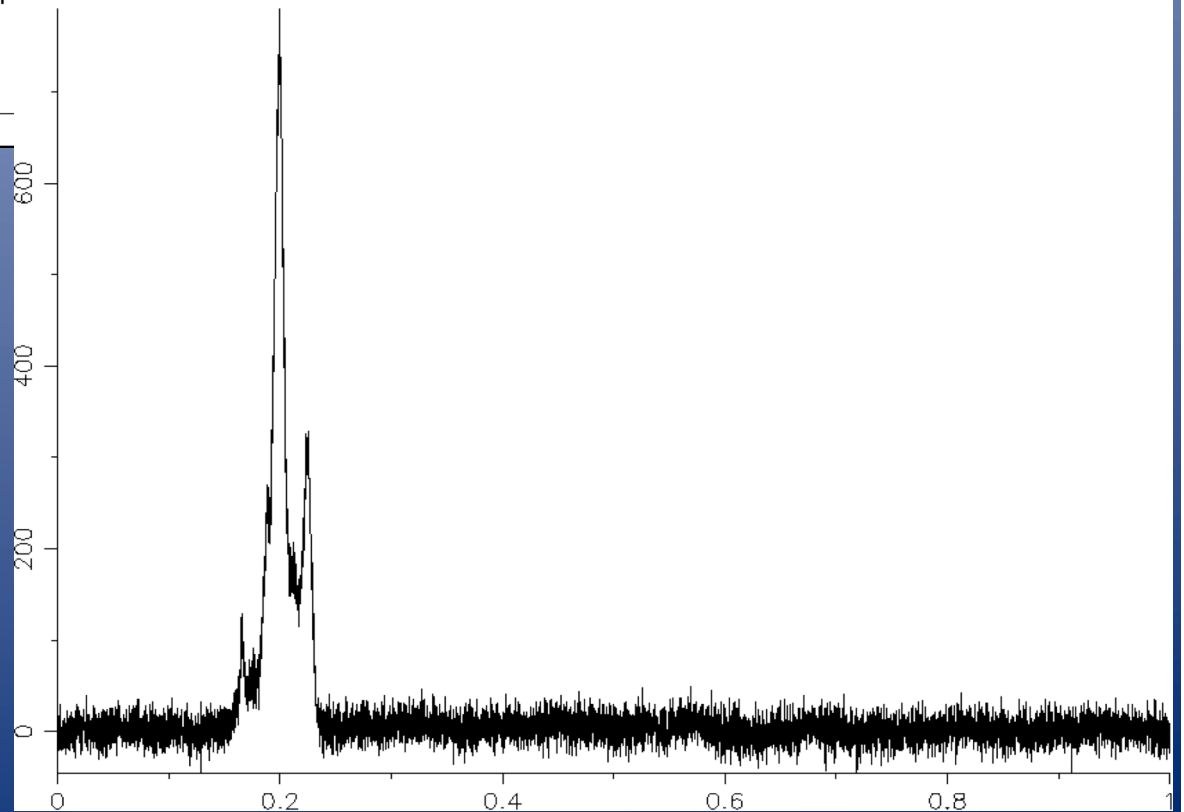


old/unprocessed.ar  
62.7 snr 18cm/20 Uncalibrated  
0332+5434 (10.00s) Weight = 128.0 (GOOD)



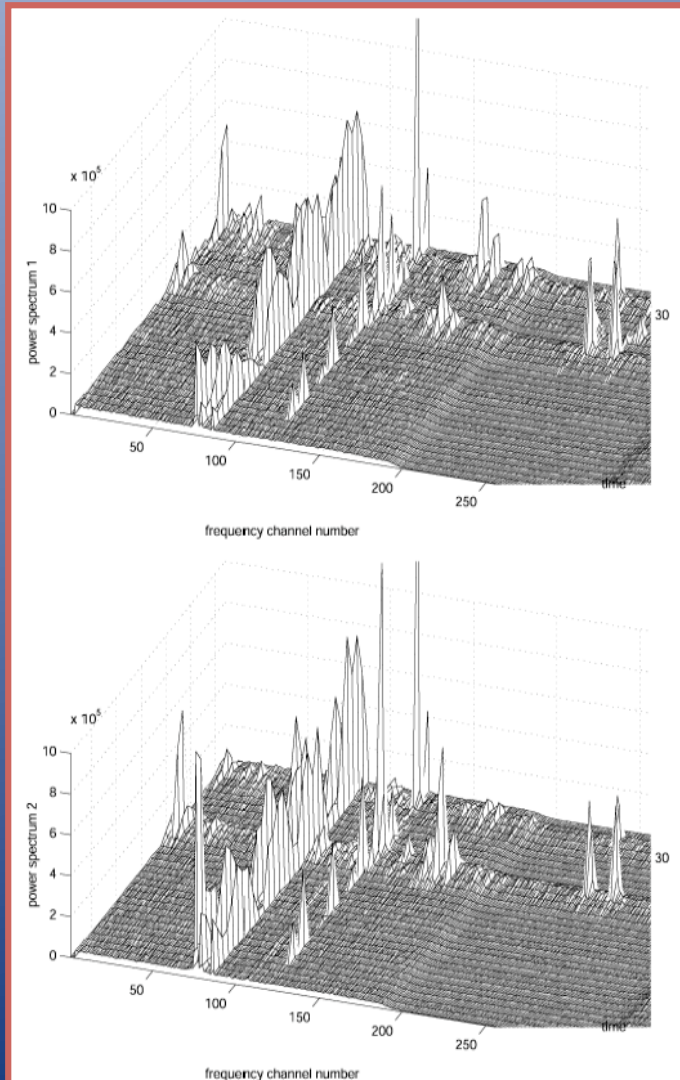
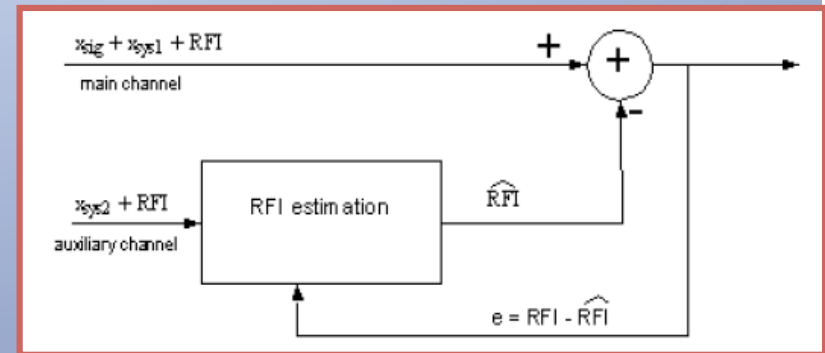
Thresholding of pulsar data  
before period folding  
WSRT PUMA2 data  
(Fridman & Stappers 2007  
and Kesteven for Parkes)

u2005180094440.ar  
402.1 snr 18cm/20 Uncalibrated  
0332+5434 (10.00s) Weight = 128.0 (GOOD)



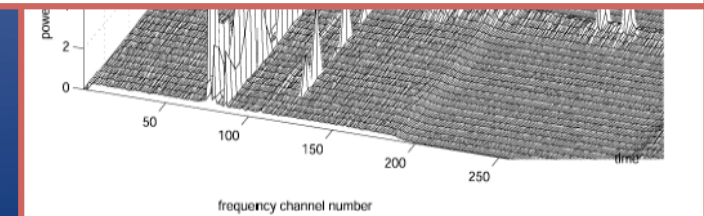
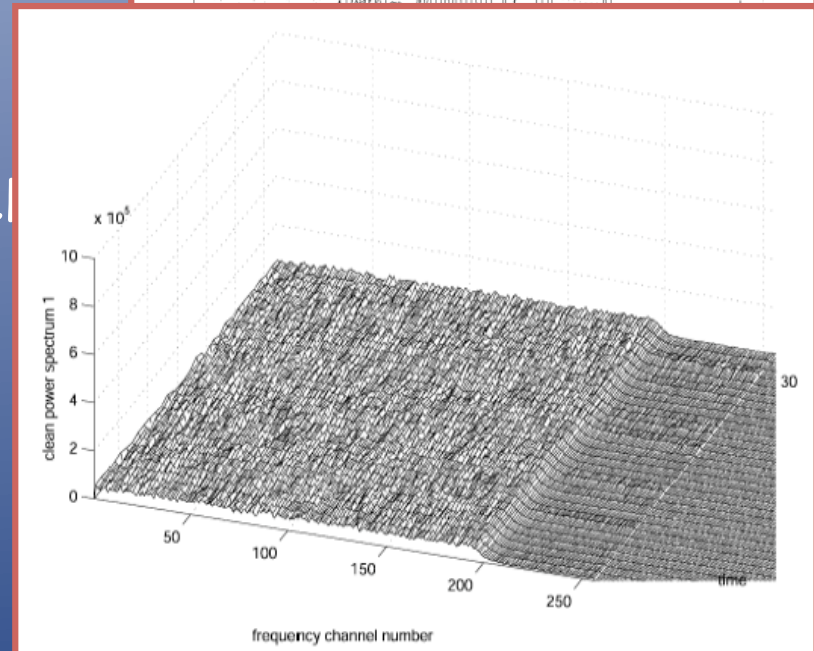
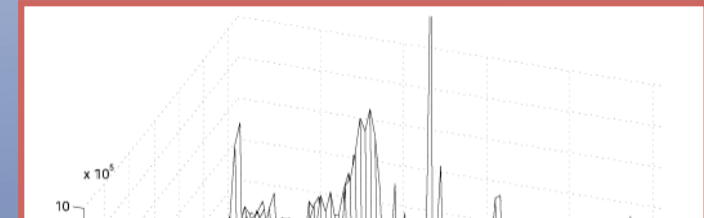
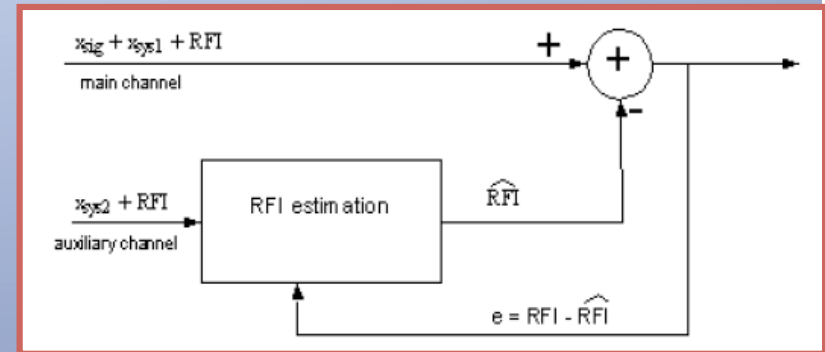
# Pre-correlation - ANC

- Adaptive noise cancellation (ANC)
- Adaptive filtering using RFI copy (Haykin 1986)
  - On-source plus RFI signals
  - Off-source plus RFI signals
- Temporal adaptive filtering  
FFT -> adapting in frequency bins  
-> FFT-1 in frequency domain
- Subtract Ref channel & main channel
- Directed reference antenna (Barnbaum & Bradley 1998)
- Subtract RFI power spectrum estimate of RFI from main channel (Briggs et al 2000)
- Effective for multi-feed SD



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# Reference Antennas

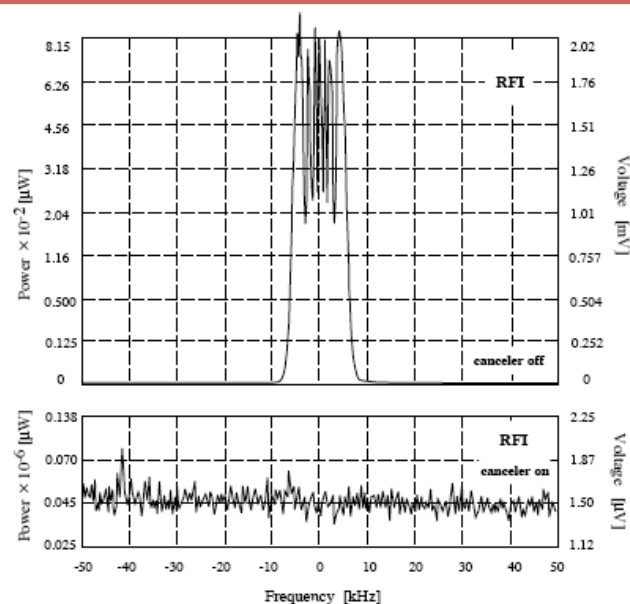
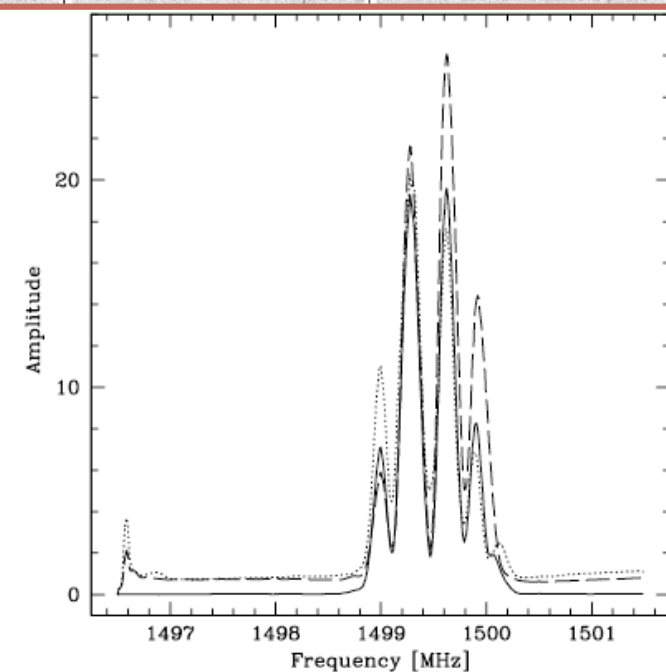
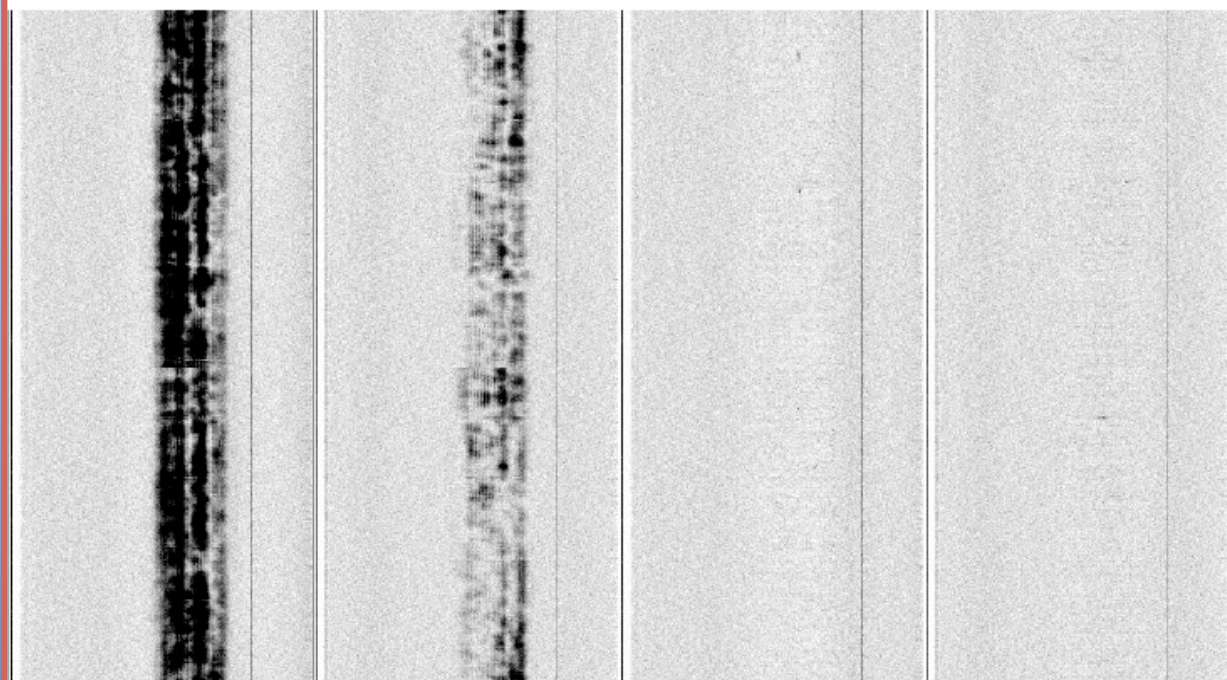


FIG. 8.—High dynamic range test of the adaptive-canceling system under stationary conditions. The data are shown in col. (2) of Table 1. The spectra in this and subsequent figures were recorded in volts. The right axis shows the linear scale in volts, and the left axis is power. Note that the left axis is not linear since power is proportional to the square of the voltage. The top panel shows the RFI at the system output before the adaptive canceler is activated (this spectrum shows a 1 s integration; with a 30 s, the peak of the RFI integrated down to  $0.071 \mu\text{W}$ , which is the value we use in col. [2] of Table 1). The bottom panel shows the spectrum after activating the adaptive canceler and integrating for 30 s.

NRAO 140 ft  
Barnbaum & Bradley 1998

Parkes  
Briggs, Bell, Kesteven 2000



GLONASS C/A  
parametric estimation/  
subtraction  
using known modulation  
properties of signal

no additional antennas

cancellation > 20 dB

(Ellingson, Bunton, Bell  
2003)

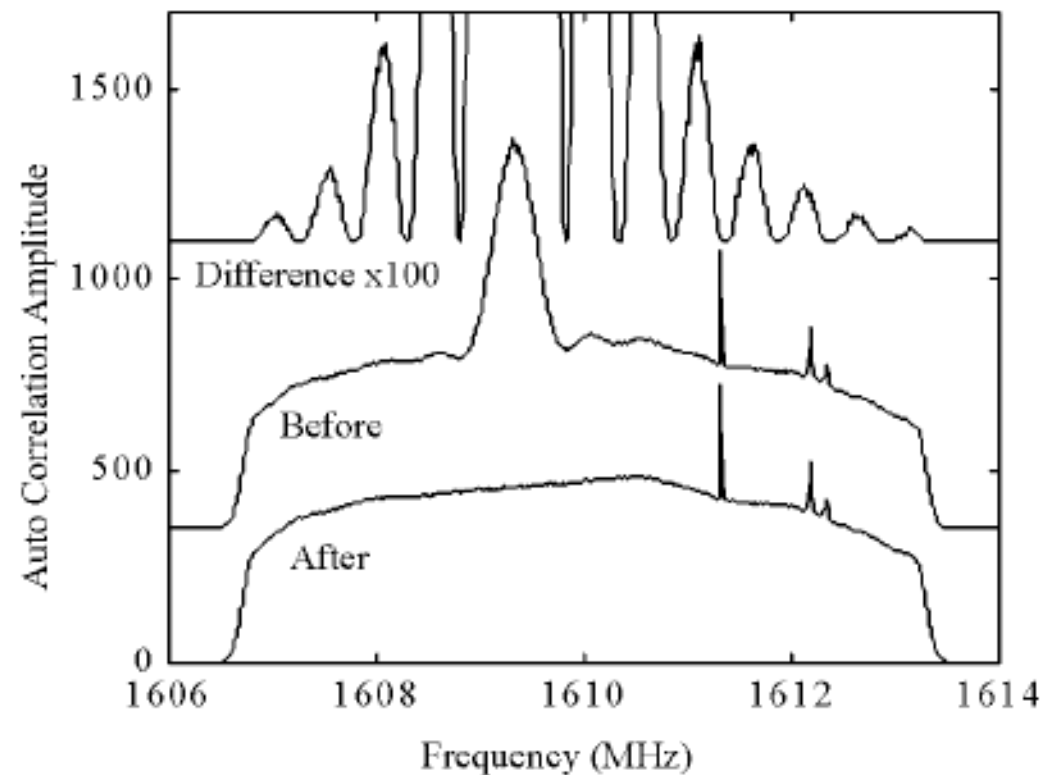
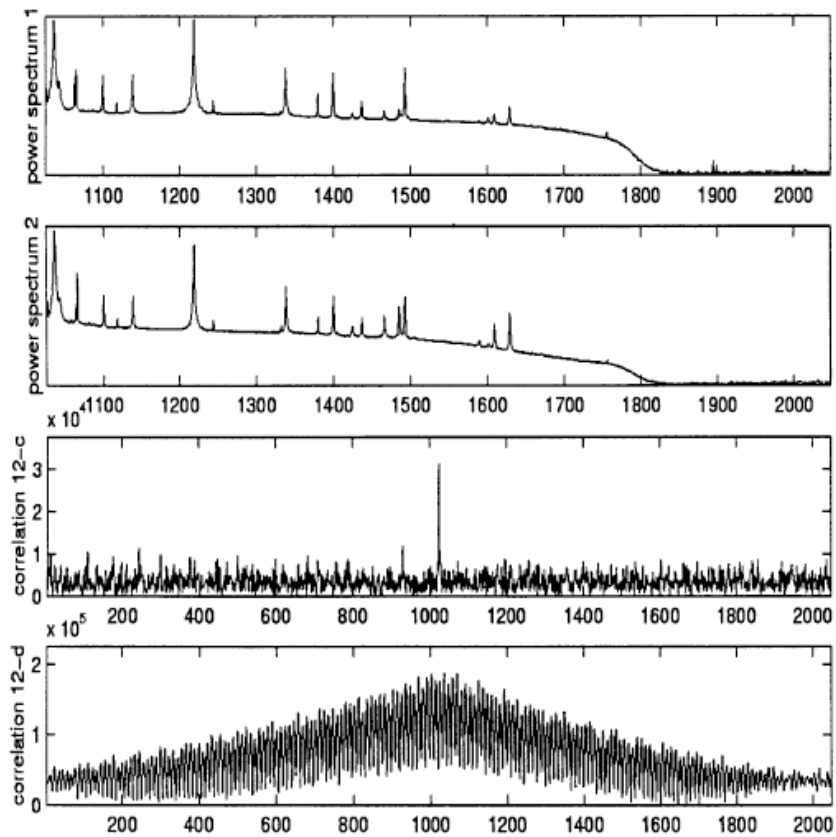


FIG. 2.—*Middle curve*: Autocorrelation of raw data, including (left to right) GLONASS, test tone, and OH maser. *Bottom curve*: Same signal after processing (C/A signal removed). *Top curve*: The cancelled signal (i.e., “before”-“after”) multiplied by 100, showing the spectrum of the signal that was cancelled (*curves offset for clarity*).

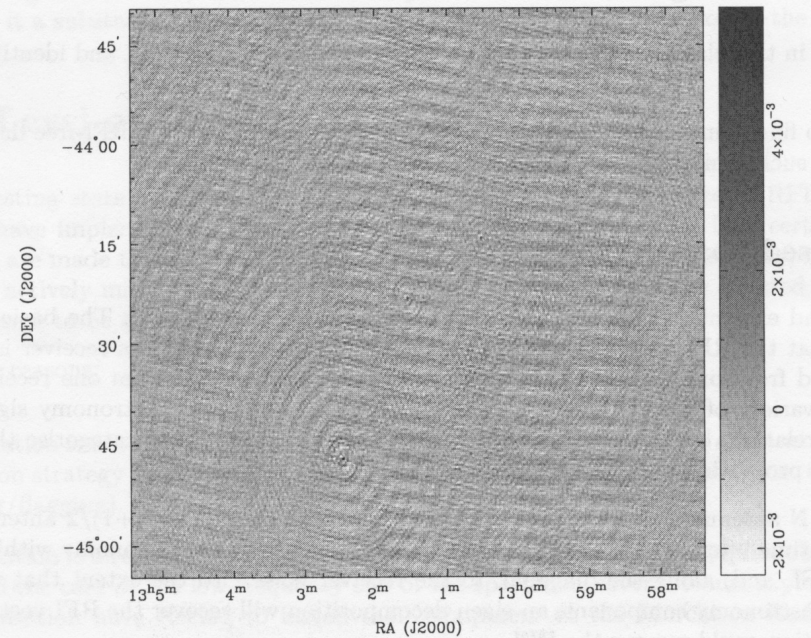
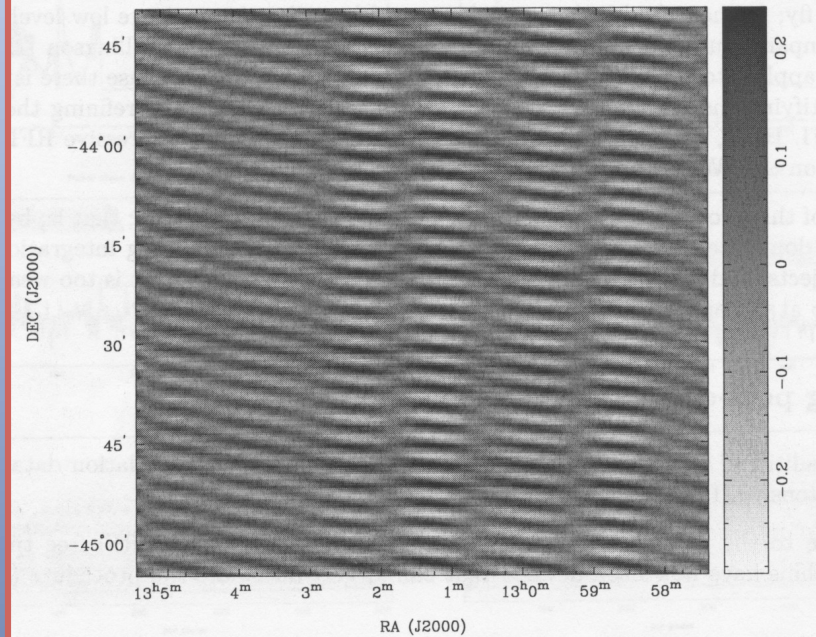
# Pre-correlation Spatial Filtering & ANC nulling

- *Smart antenna* technique in radar and communication system
- multiple sensors (RTs) & view on RFI sources (LOFAR, WLA, etc)
- Sparse Arrays => large baselines
  - correlation array & beams synthesized afterwards
  - RFI suppression afterwards using small time intervals & complex weighting during image processing (Leshem 2000)
- Assuming that RFI sources are localized
- Real-time adaptive nulling in phased-arrays for new generation telescopes (van Ardenne et al 2000; Bregman 2000)
- Spatial filtering using outstanding properties of the RFI (Leshem et al. 2000)



WSRT spatial filtering using  
adaptive complex weighting  
(Fridman & Baan 2001)

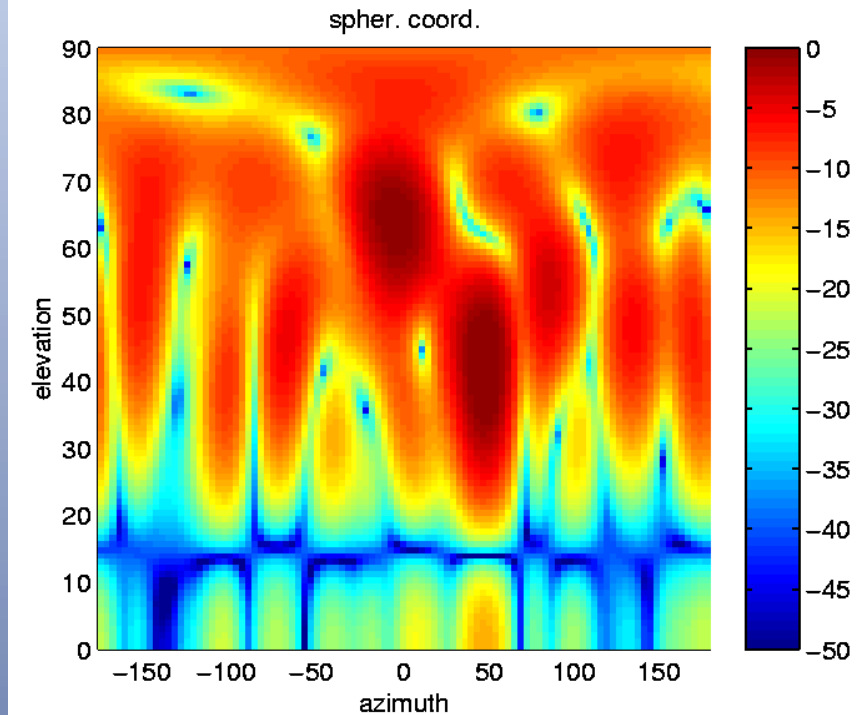
Adaptive filtering &  
null-steering  
(Kesteven 2009)



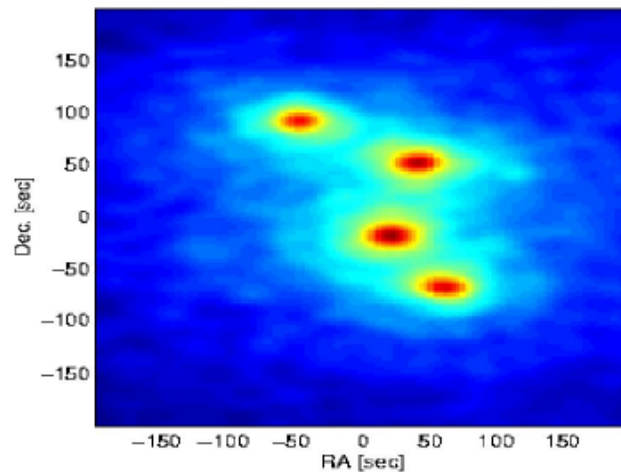
100 LOFAR stations  
two beams and null at 15 deg  
(Boonstra & van der Tol 2005)

# Spatial Filtering

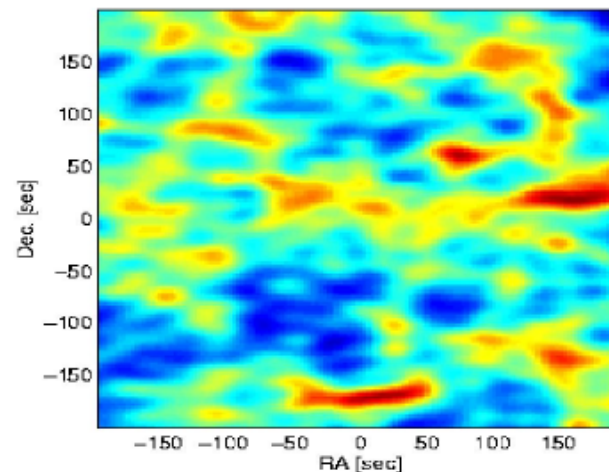
Computer simulation of post-correlation spatial filtering,  
adapted clean with RFI beam  
distortion removal  
(Leshem & van der Veen 2000)



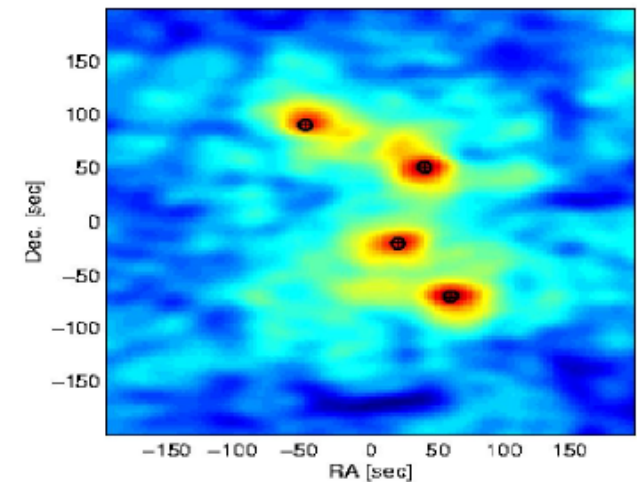
a. No interference



b. Unsuppressed interference

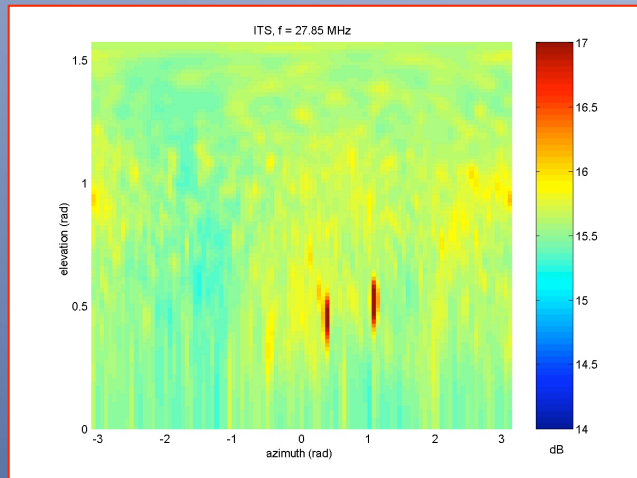


c. After spatial filtering

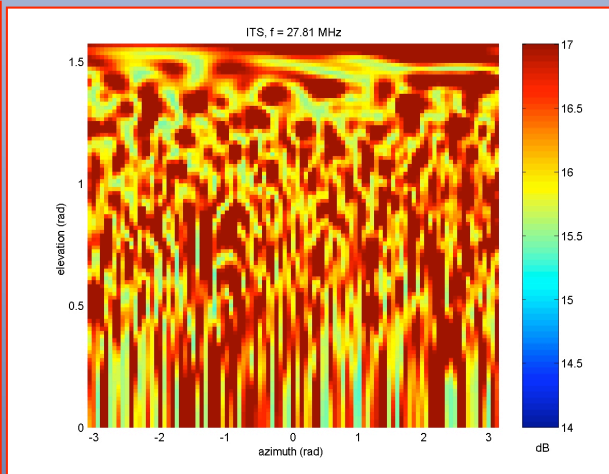


# LOFAR ITS spatial filter experiment

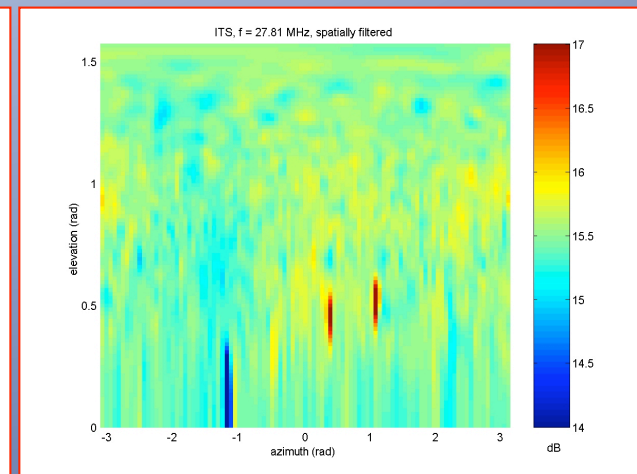
ITS observation, 26 Feb. 2004, 60 antennas,  $df=10$  kHz,  
6.75 s integration, A.J. Boonstra, March 15, 2004.



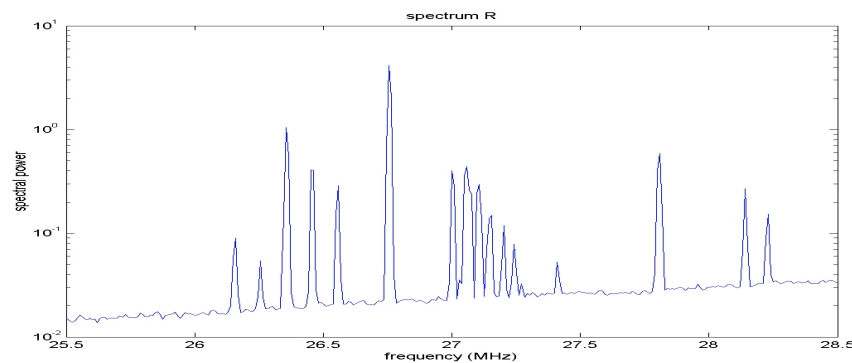
ITS sky map 27.85 MHz,  
no RFI  
Cas-A, Cyg-A visible



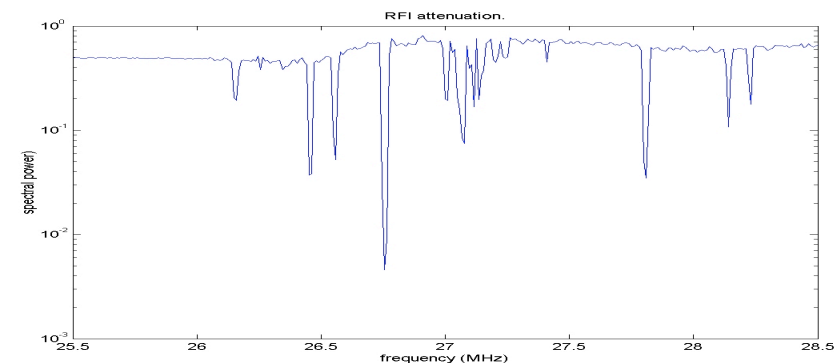
ITS map 27.81 MHz,  
strong RFI at  $(Az, el) =$   
 $(-1.3, 0)$  rad



ITS map 27.81 MHz,  
fixed null at  
 $(Az, el) = (-1.3, 0)$  rad



ITS observation, spectrum



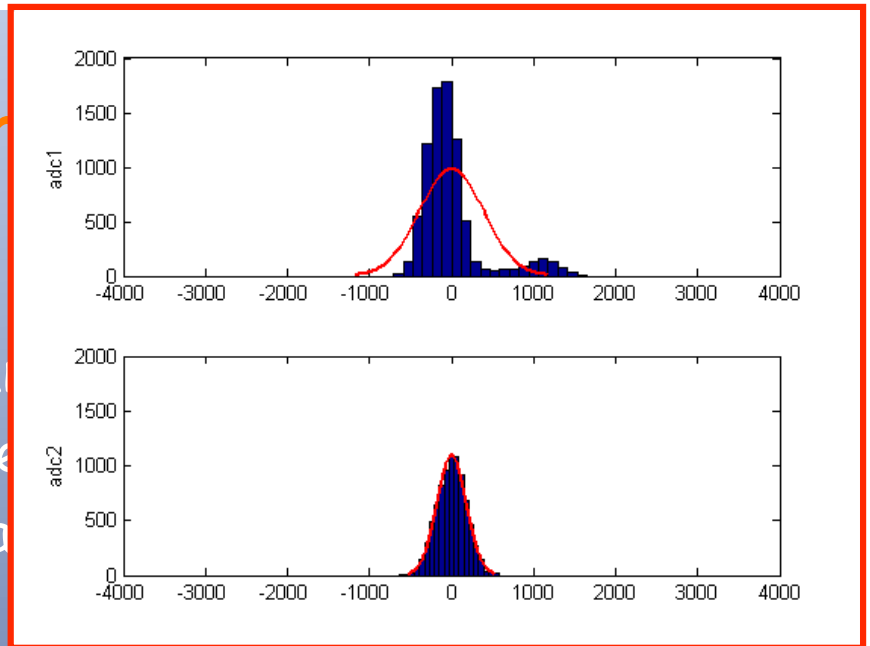
ITS obtained RFI attenuation numbers

# Pre-correlation & Antenna-based

- *digital processing at IF* (single RT & ARTs)
- Real-time thresholding in temporal and frequency domains
  - Spectral occupancy RFI should be low
- 'Sub-space' filtering => use RFI signature in data
  - cyclostationarity (Nancay; Weber et al)
- Excision of RFI based on probability distribution analysis
  - RFI changes power spectrum to a non-central power distribution (Fridman 2001; Fridman & Baan 2001)
- Data loss => affects gain calibration => accurate bookkeeping
- Ratan 600 (Fridman & Berlin 1996) – many more examples
- SD solar observations (NJIT group)
- WSRT RFI Mitigation System (Baan, Fridman, Millenaar 2004)

## Pre-correlation & Analysis

- *digital processing at IF* (single RT & analysis)
- Real-time thresholding in temporal analysis
  - Spectral occupancy RFI should be detected
- 'Sub-space' filtering => use RFI signal characteristics
  - cyclostationarity (Nancay; Weber et al)
- Excision of RFI based on probability distribution analysis
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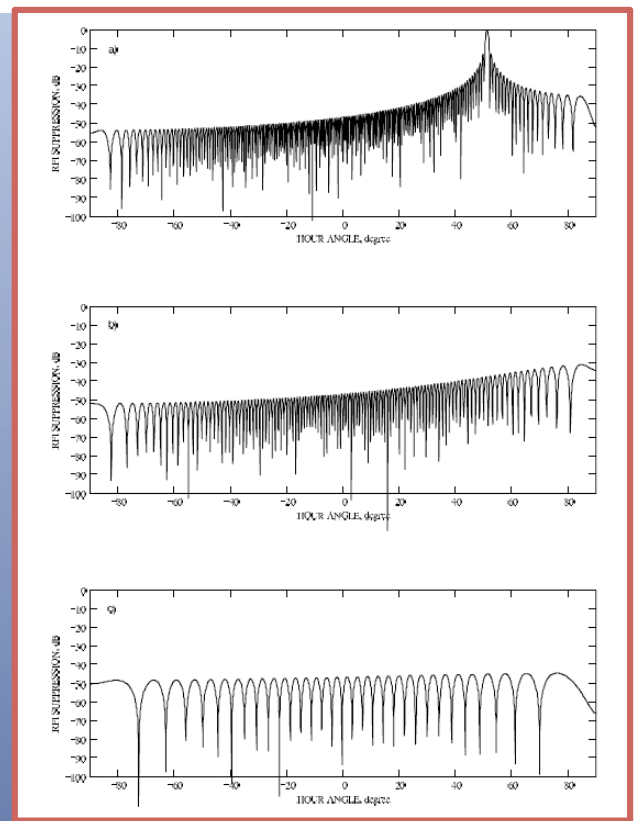


# At Correlation

- Real-time post-correlation processing
  - time-frequency analysis before averaging
  - special hardware as part of existing/new backends
  - related to *baseband pre-correlation processing* (before or during data stacking)
- Arrays - anti-coincidence using widely separated RTs & digital processing & reference antenna as part of the array
- Applications integrated into new generation SW correlators (Swinburne)

# Post Correlation

- SD - *flagging* & excising => data loss
- SD - using reference spectra
- Array - fringe tracking => spatial filtering
- Array - *flagging* => data loss & time consuming (automation)
- Array - Eliminate 'stationary' RFI sources
  - UVRFI based on RfiX code for GMRT Ramana Athreya (2009)
  - Fringe stopping => stationary (terrestrial) RFI sources fringe faster than astronomical sources, i.e at fringe stopping rate
  - No data loss
- Removal in image-plane using distinct motion of RFI (LOFAR - Wijnholds et al. 2004; VLA - Cornwell et al 2004)

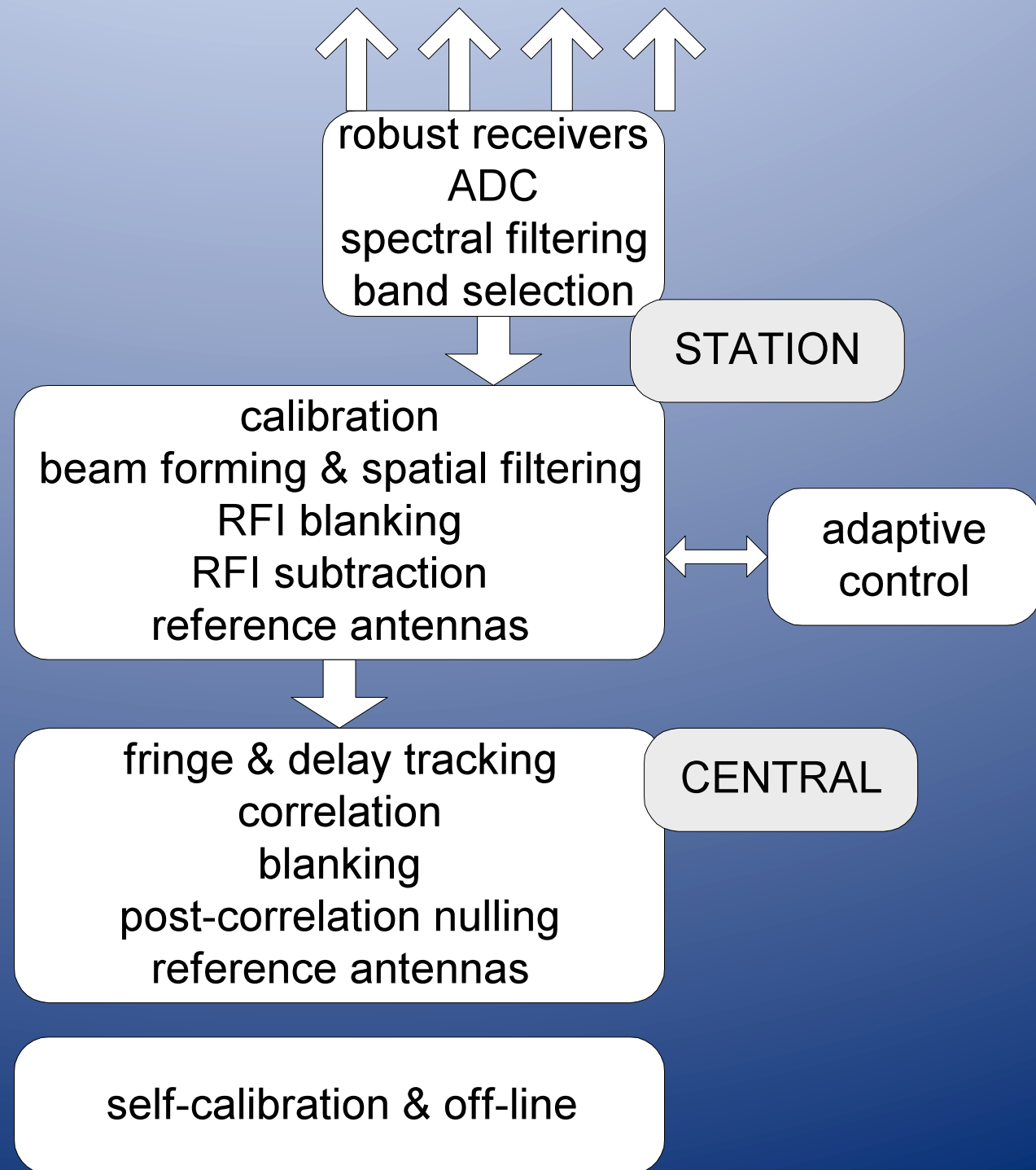


Thompson 1982  
Baan et al 2004

# Implementation at Telescopes

- Increased processing capability => filtering (excising) with high temporal ( $\mu\text{sec}$ ) and frequency ( $< 1\text{kHz}$ ) resolution
- Reference antennas (one per RFI source) require integration
- Requires modifications of current spectrometers
- Future spectrometers need to determine higher moments
- Interferometers less vulnerable
- Auxiliary antennas require system (correlator) integration
- Fringe-stopping and decorrelation by delay-compensation give natural suppression of weak RFI
- Strong RFI adds to system noise and affect complex visibilities
- Accurate bookkeeping of weights for later CLEAN and Self Calibration during mapping stages
- VLBI - RFI affects calibration data at each site
- VLBI - need RFI mitigation for SD station telescopes

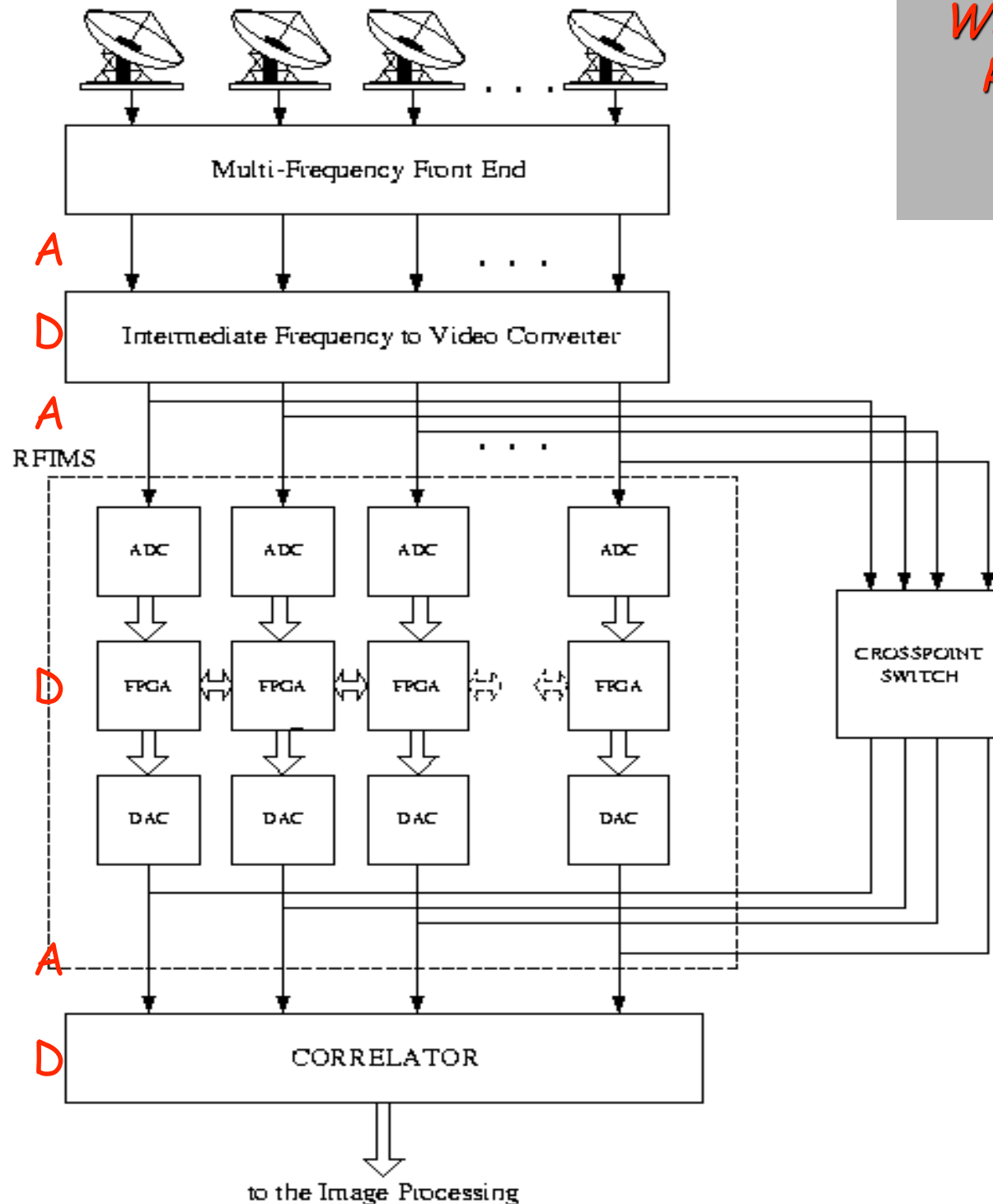
# RFI mitigation strategies



Westerbork Synthesis  
Radio Telescope



*WSRT RFI Mitigation System  
Real-time pre-detection and  
pre-correlation baseband  
processing*

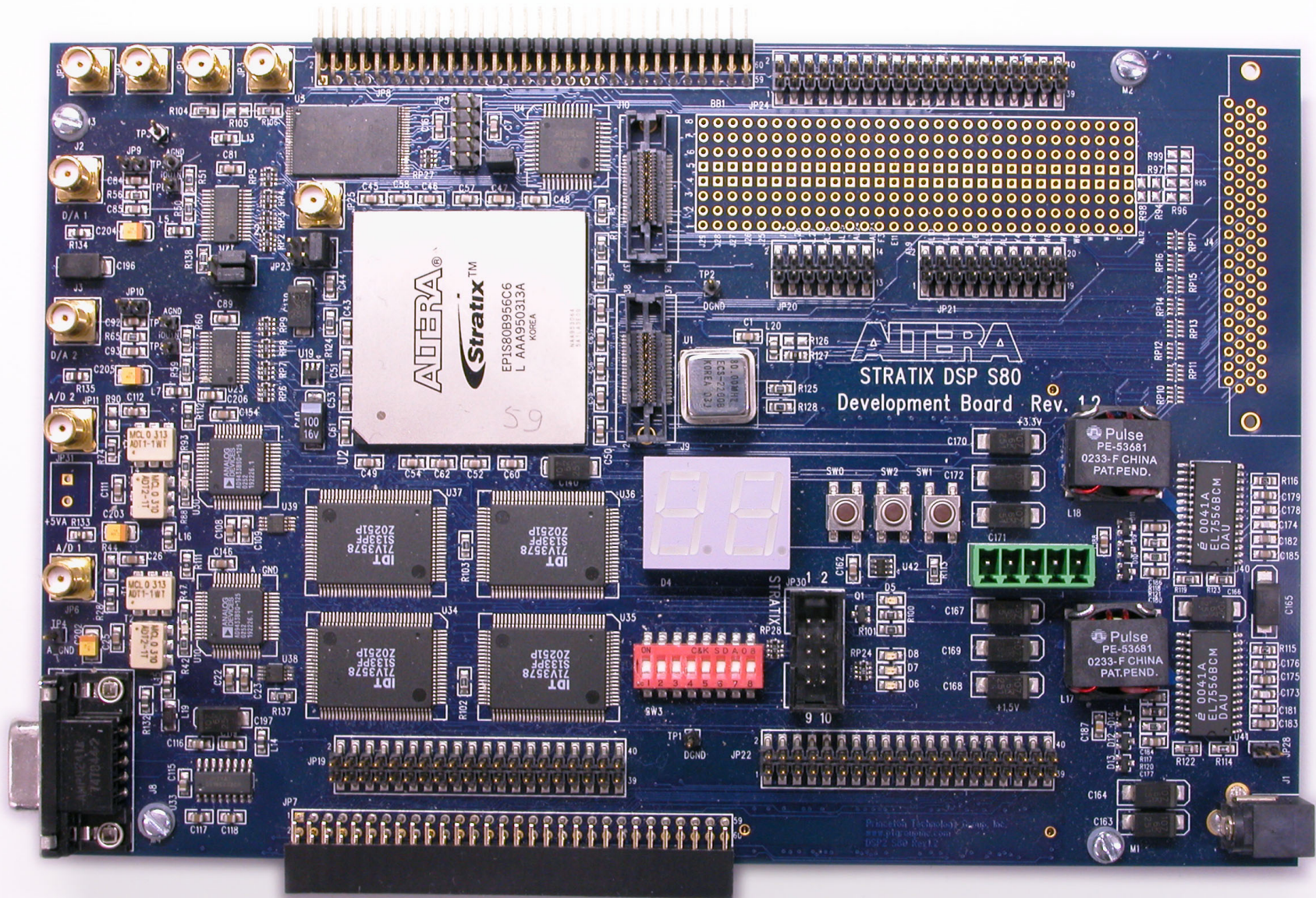


- a) 12bit ADC
- b) Altera Stratix FPGA
- c) 14bit DAC
- d) VME control
- e) 40Msamples/sec

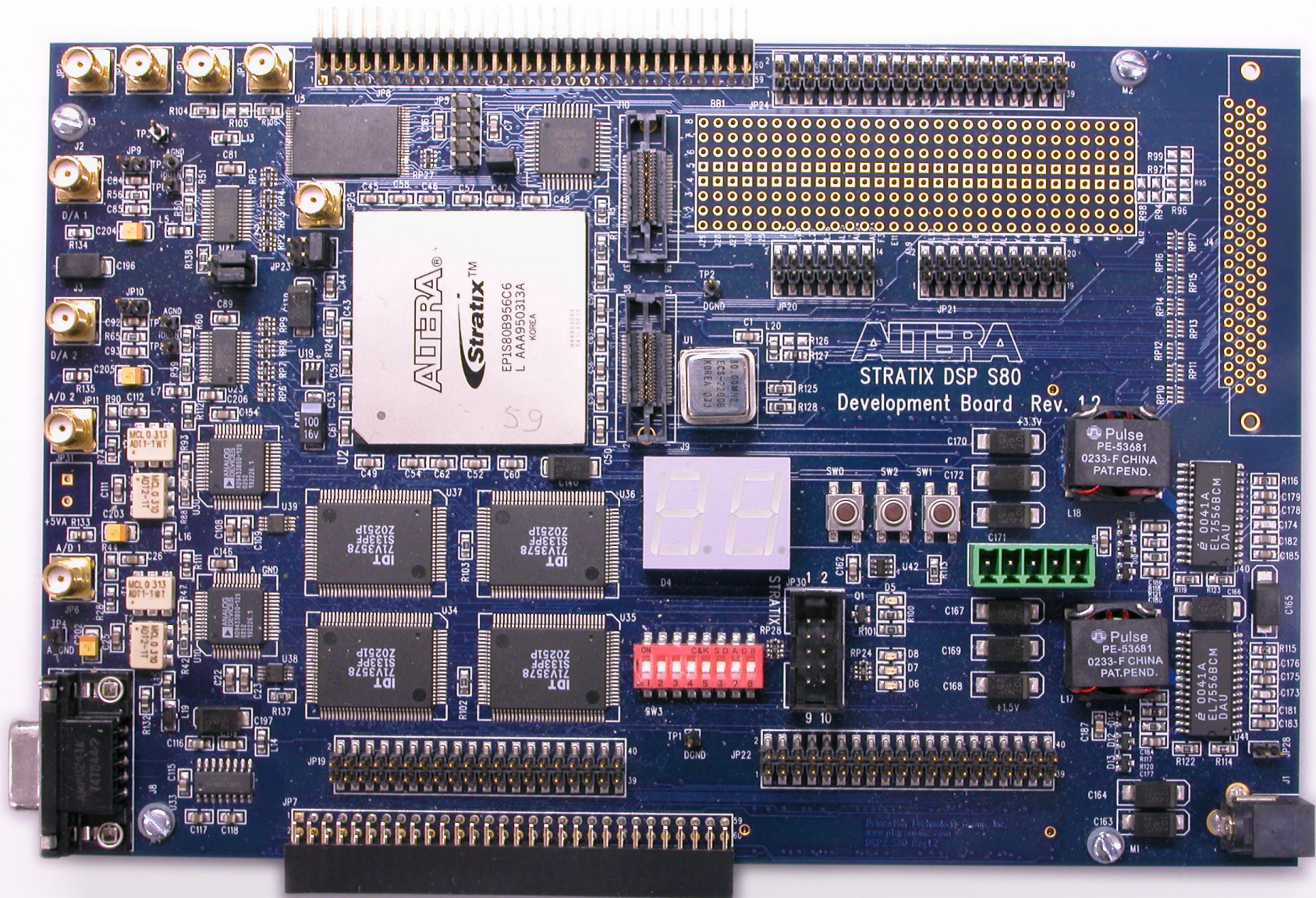
14 RTs & 2 pol  
& band 20 MHz

several  
 $A > D > A$  conversions

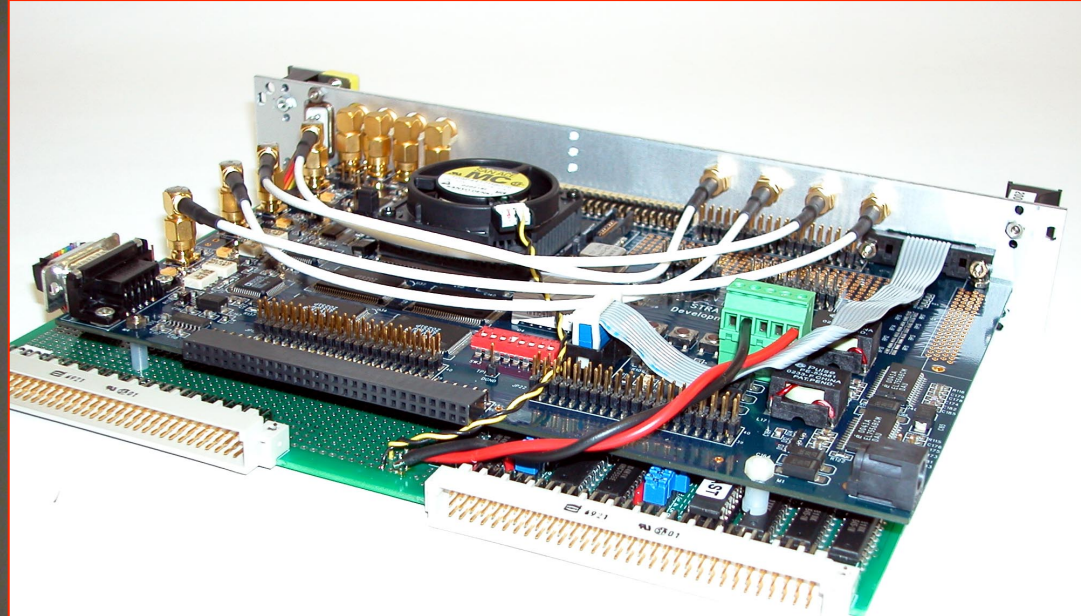
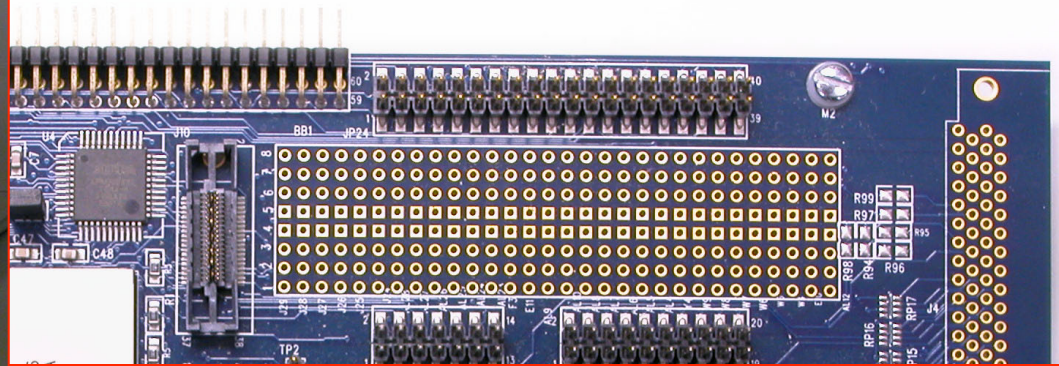
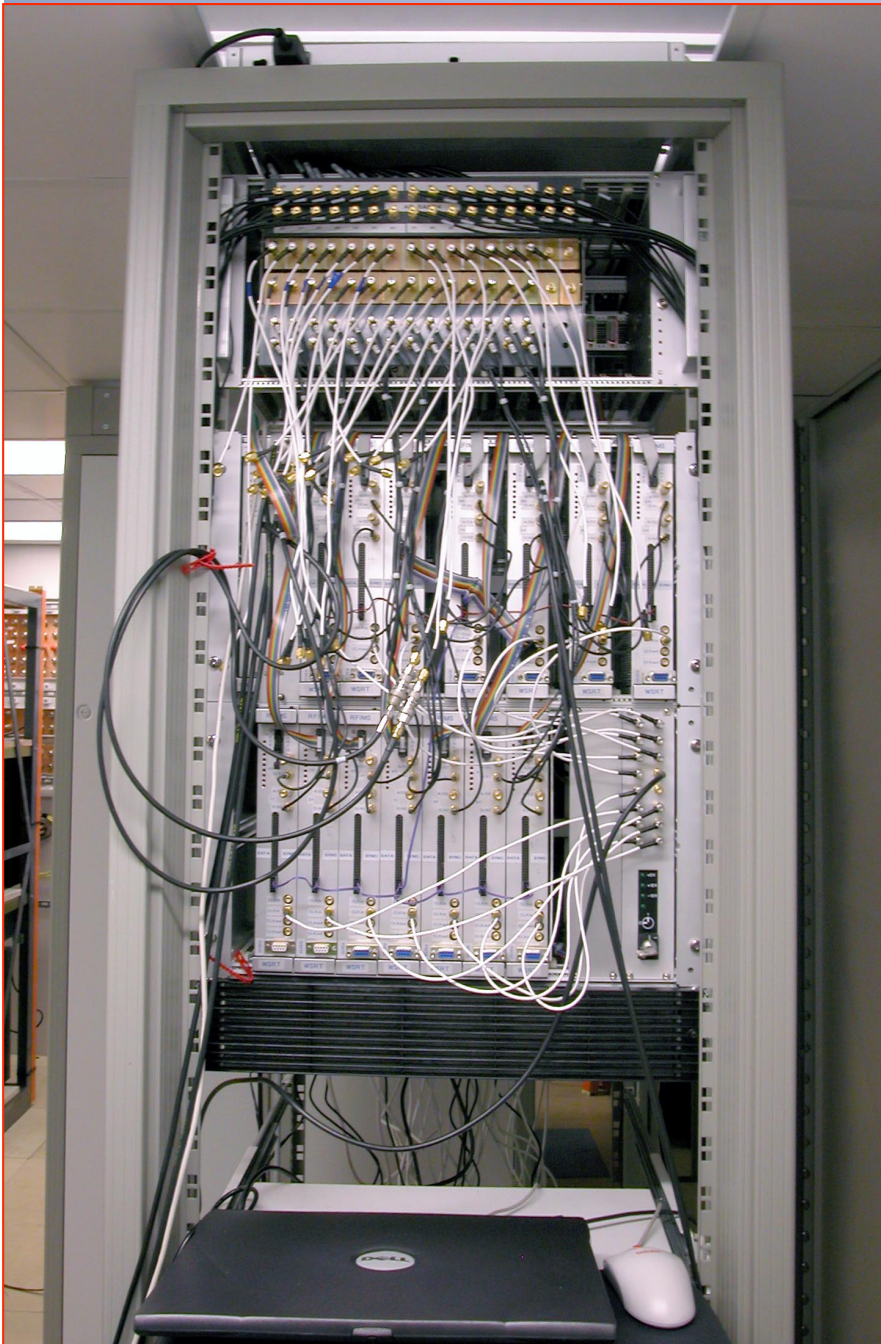
# RFIMS



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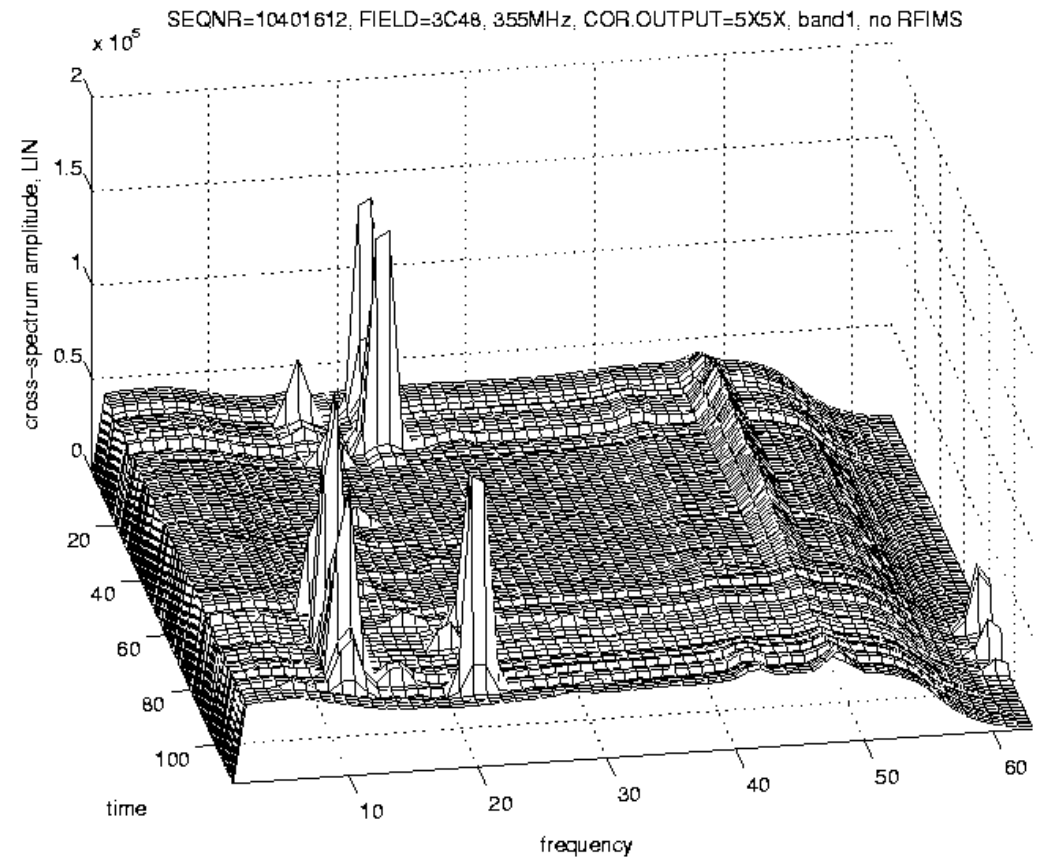
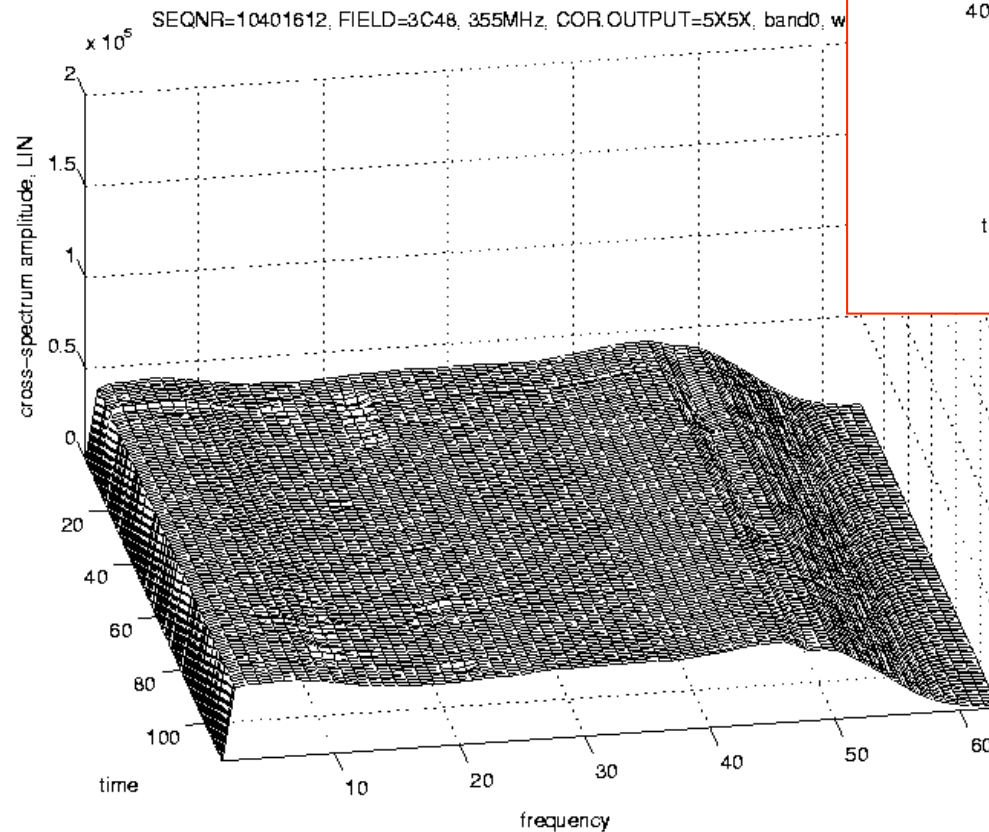
# RFIMS



# Auto Corr Amplitude

5X5X b0 & b1

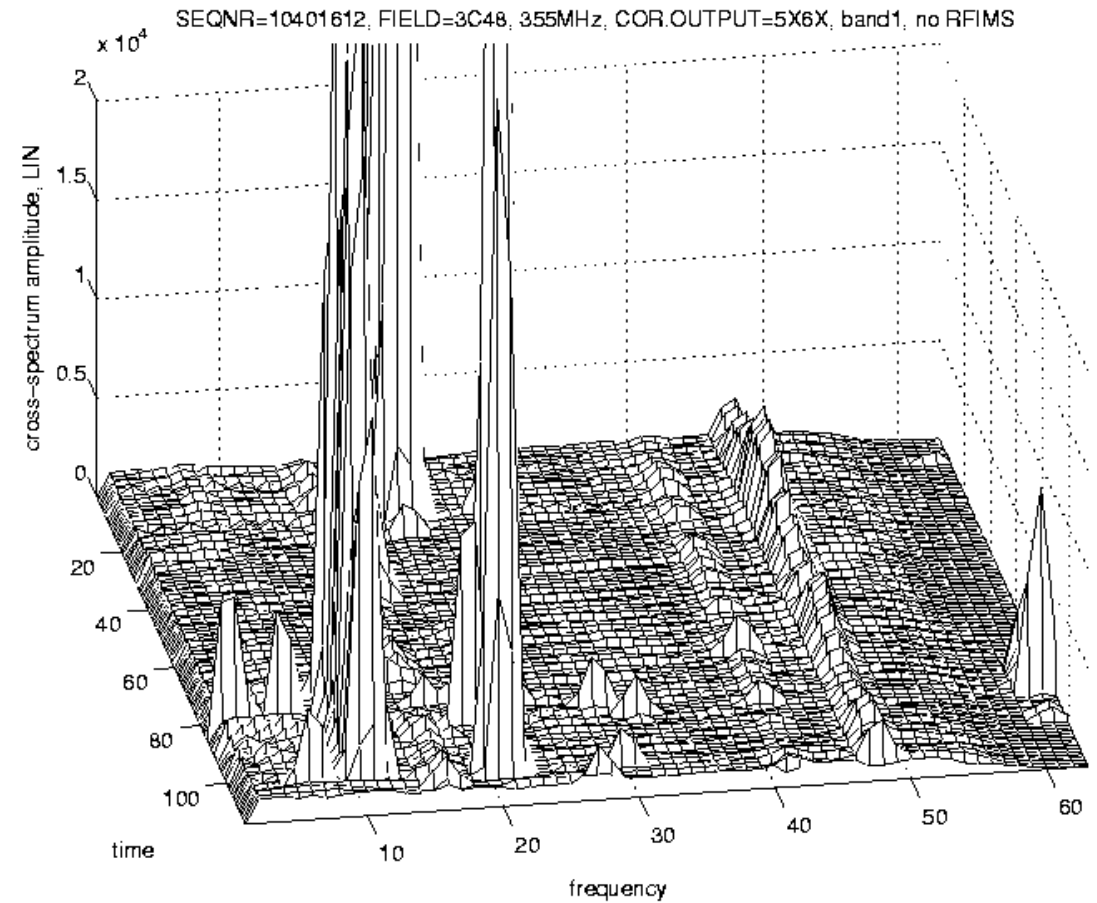
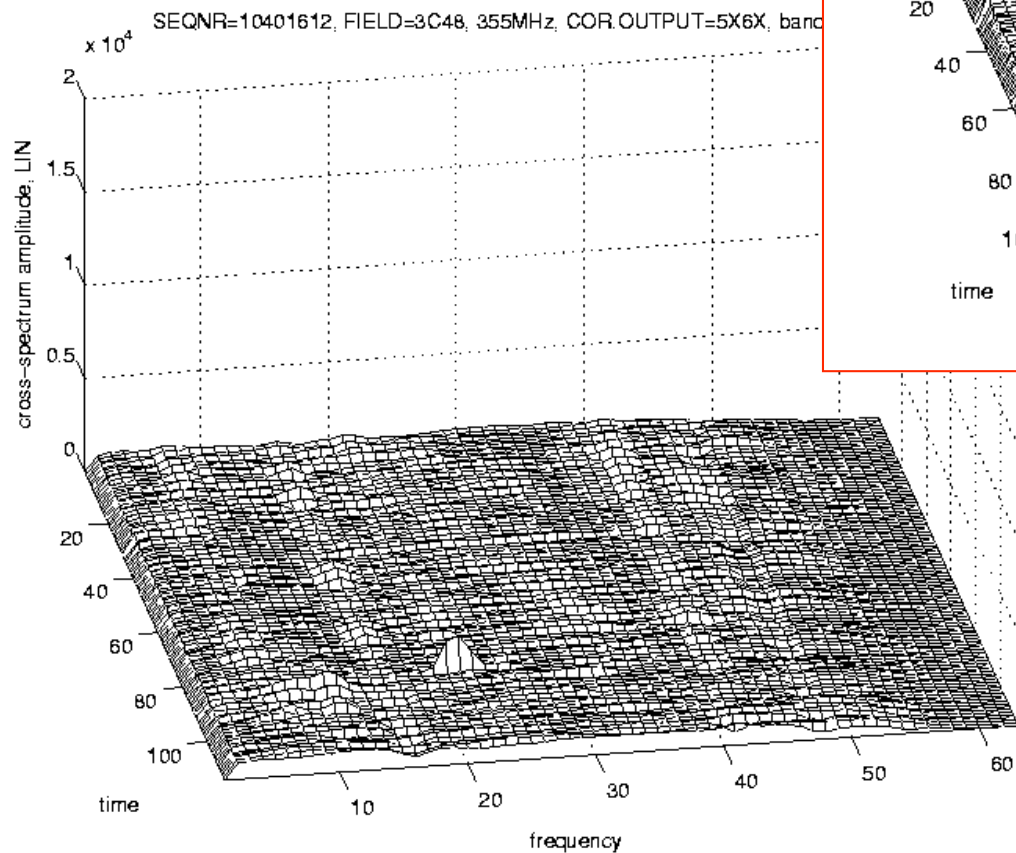
rejection in temporal domain  
*strong & short bursts*  
rejection in frequency domain



## Cross Corr Amplitude

5X6X b0 & b1

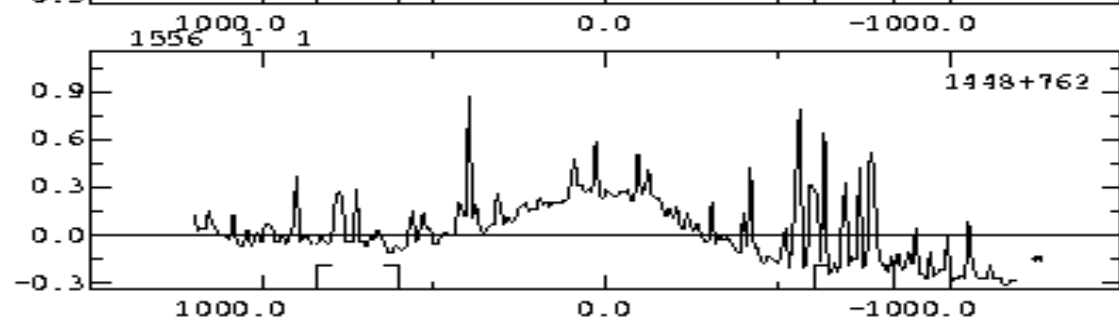
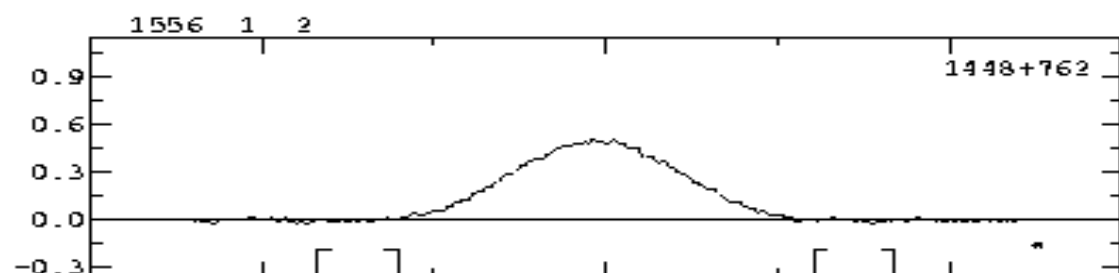
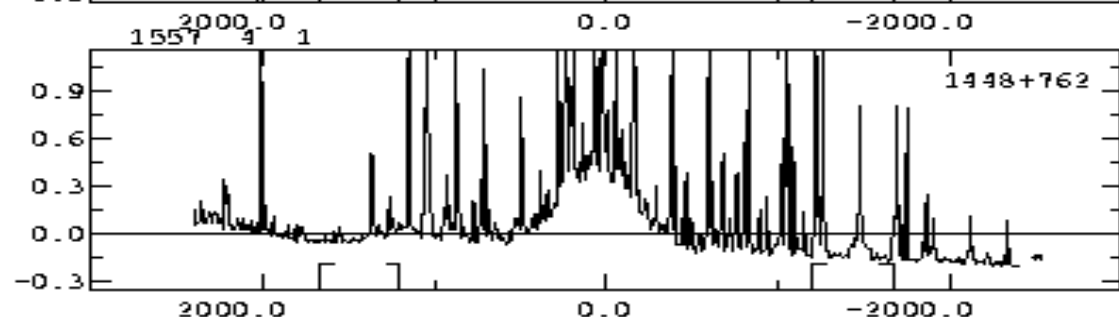
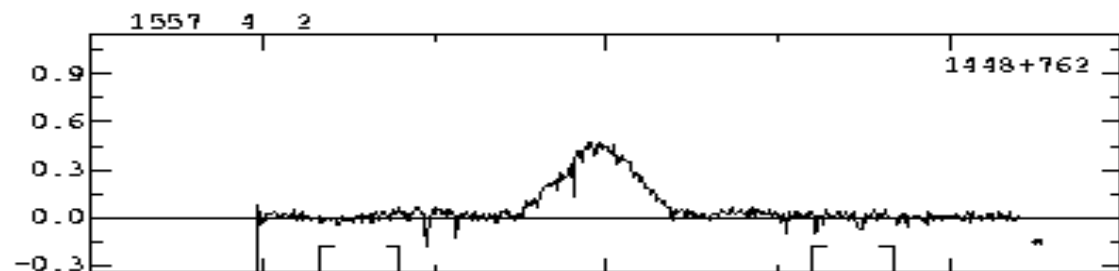
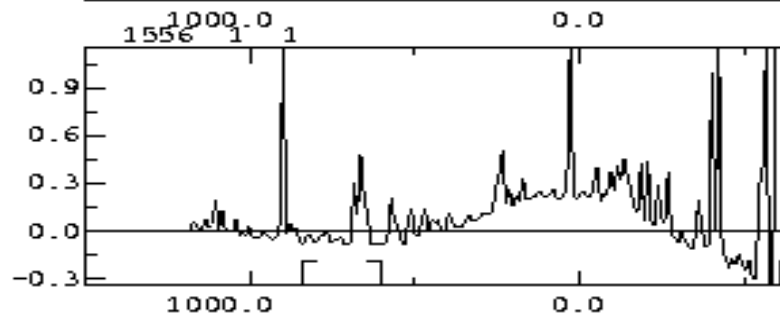
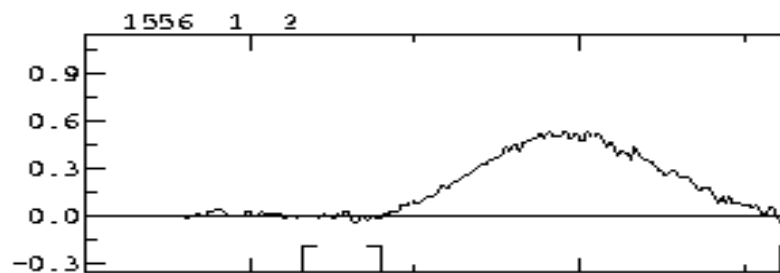
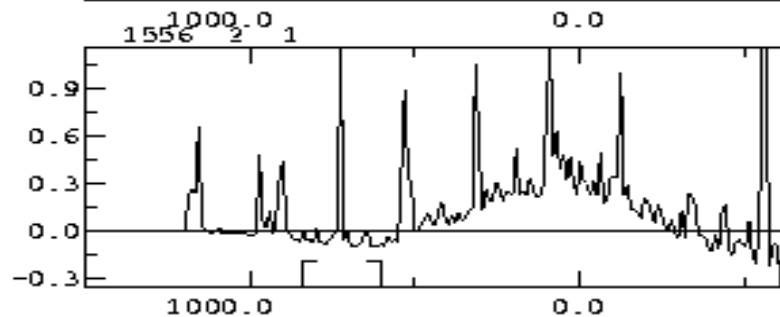
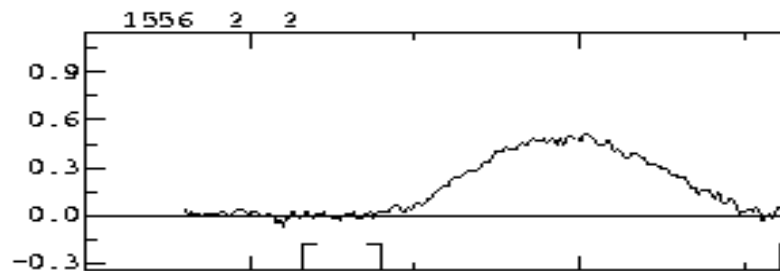
rejection in temporal domain  
rejection in frequency domain



# Results Effelsberg 100m with WSRT FPGA system



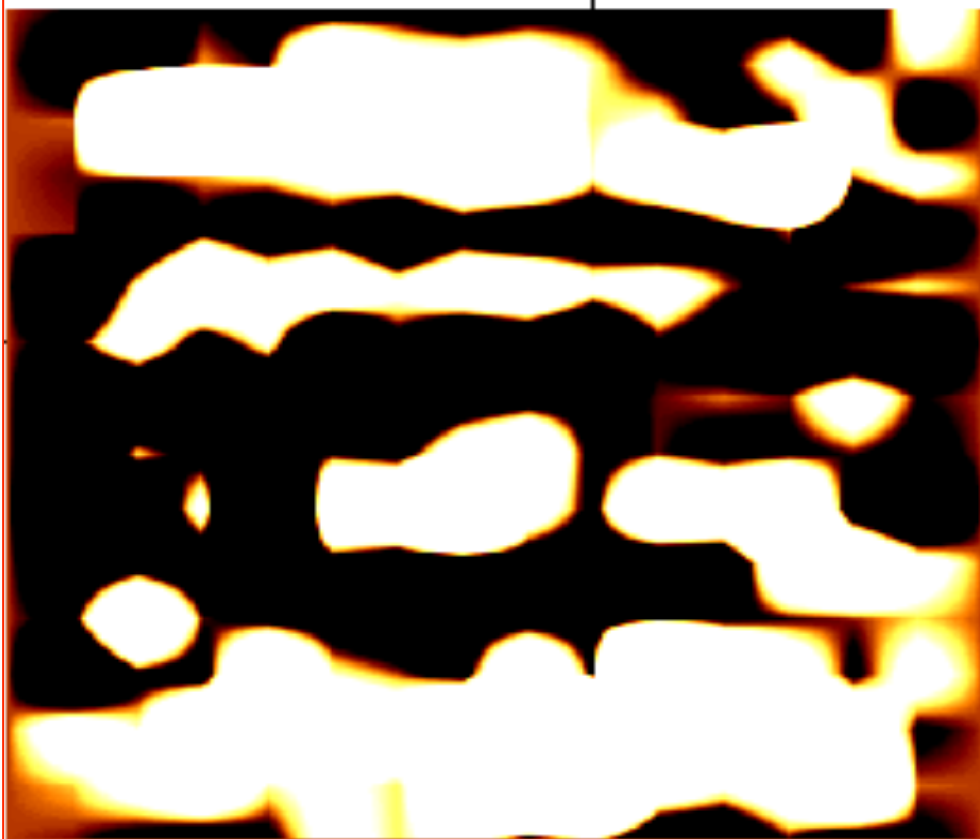
# Results Effelsberg 100m with WSRT FPGA system



# Effelsberg 100m - using WSRT system

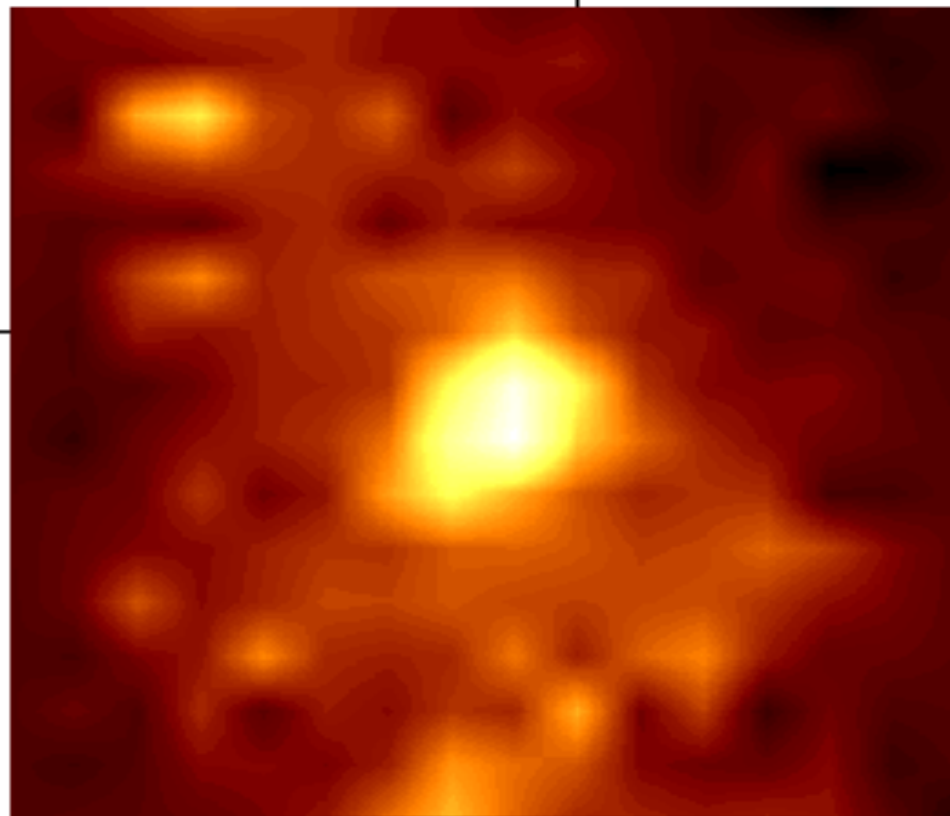
## 16 x 16 Drift maps without mitigation and with mitigation

1440+762 1621 1645MHz CH1 2004.438  
COL/ROW= 16/ 16 L= 0.499/ -0.500 B= -0.499/ 0.499  
MAX/MIN= 5000.0/ -2000.0 1645 MHz MAP NO. 1

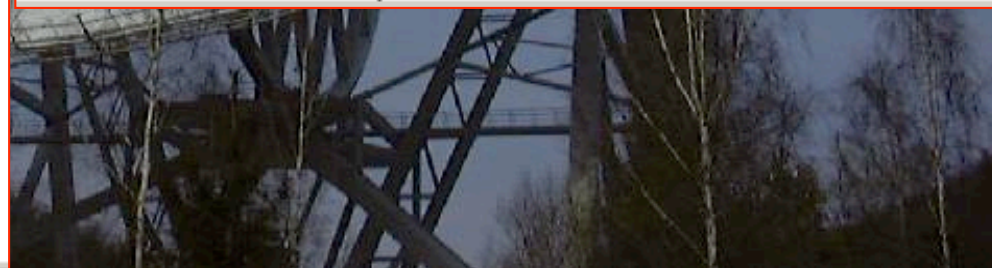


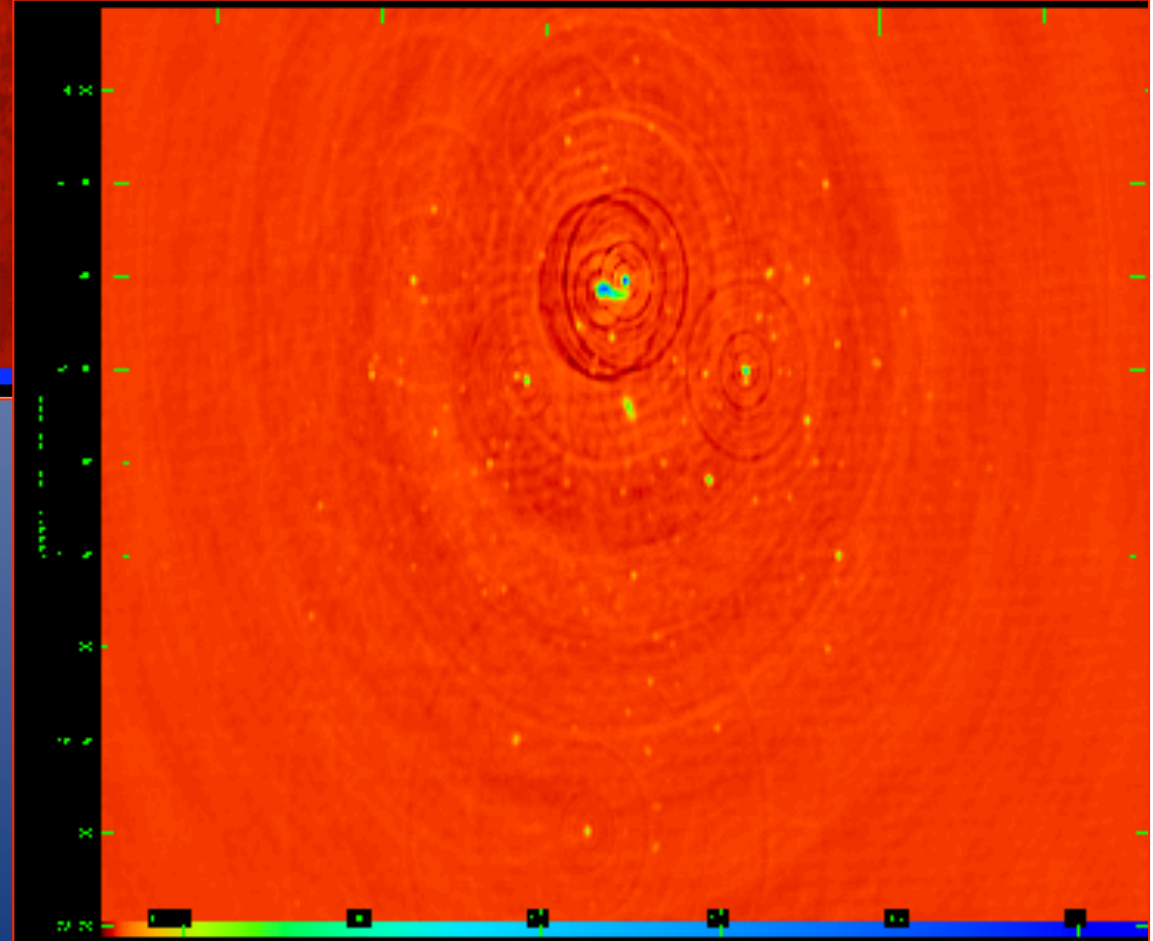
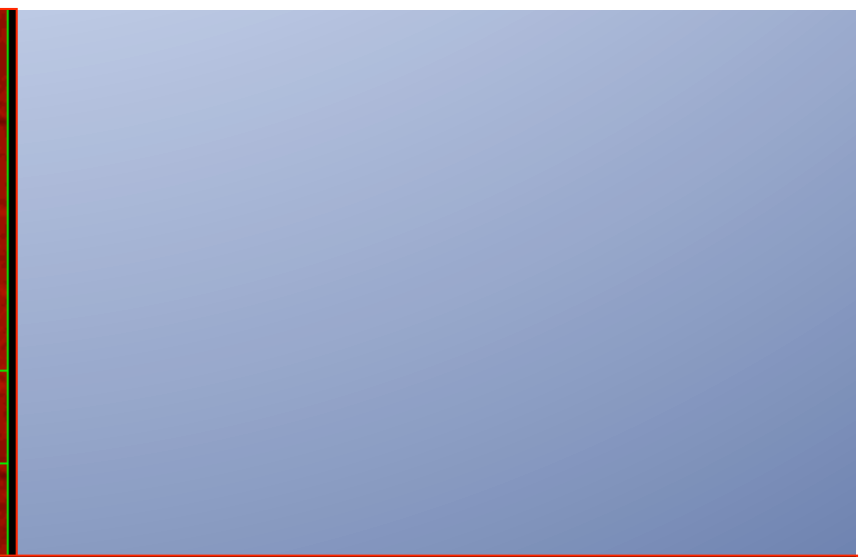
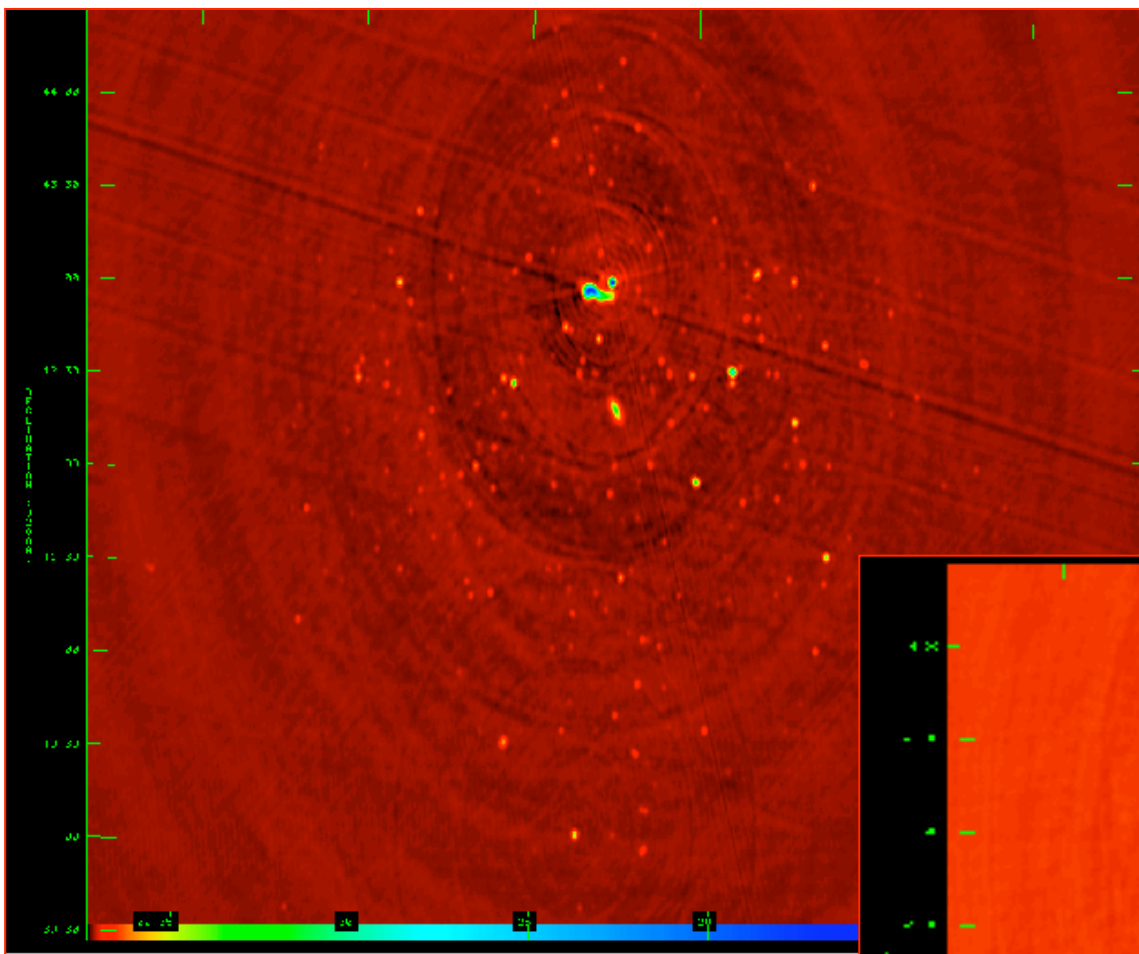
mp1621  
9-Jun-2004 15:00 by efuerst

1440+762 1621 1645MHz CH2 2004.438  
COL/ROW= 16/ 16 L= 0.499/ -0.500 B= -0.499/ 0.499  
MAX/MIN= 10821.86/ -1630.52 1645 MHz MAP NO. 2



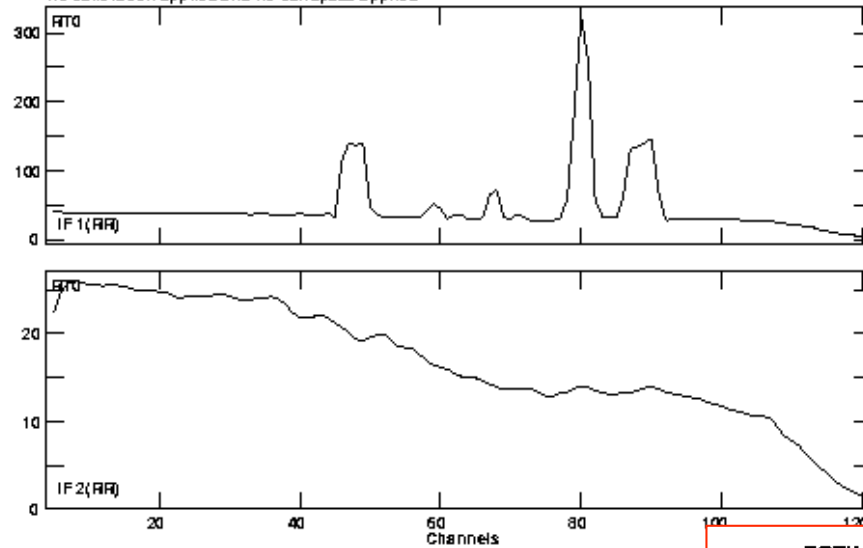
mp1621  
9-Jun-2004 15:05 by efuerst





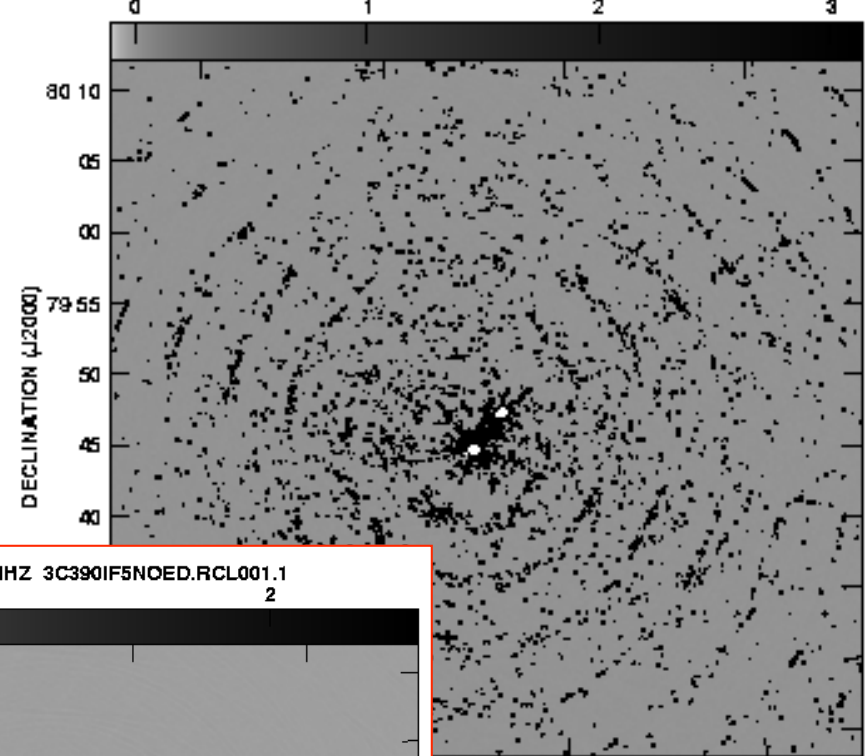
WSRT NGC891 field  
at 370 MHz  
without and with

Plot file version 1 created 21-OCT-2005 20:31:31  
 3C390.FIT.HANNIN.1  
 No calibration applied and no bandpass applied



Lower frame: MIII Ampl Jy  
 Scalar averaged cross-power spectrum Baseline: RT0  
 Timerange: 00:17:07:05 to 00:17:08:05

BOTH: 3C390.3 RR 1625.586 MHZ 3C390IF4NOED.RCL001.1

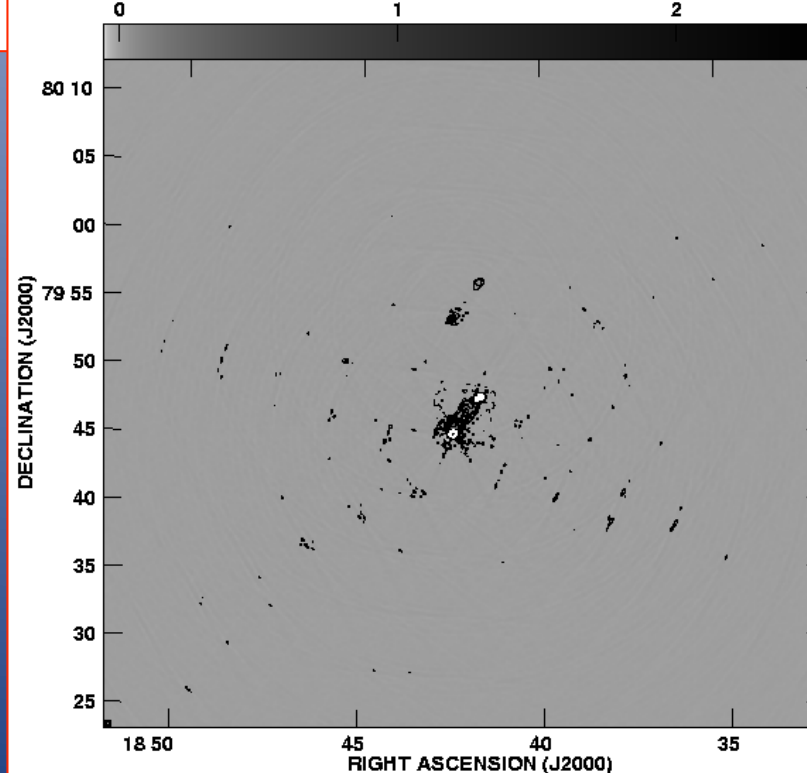


ASCENSION (J2000)  
 128 JY/BEAM  
 /BEAM  
 6, 8, 12, 16,  
 256, 512, 1024)

Strong Continuum  
 Source  
 in Iridium Band

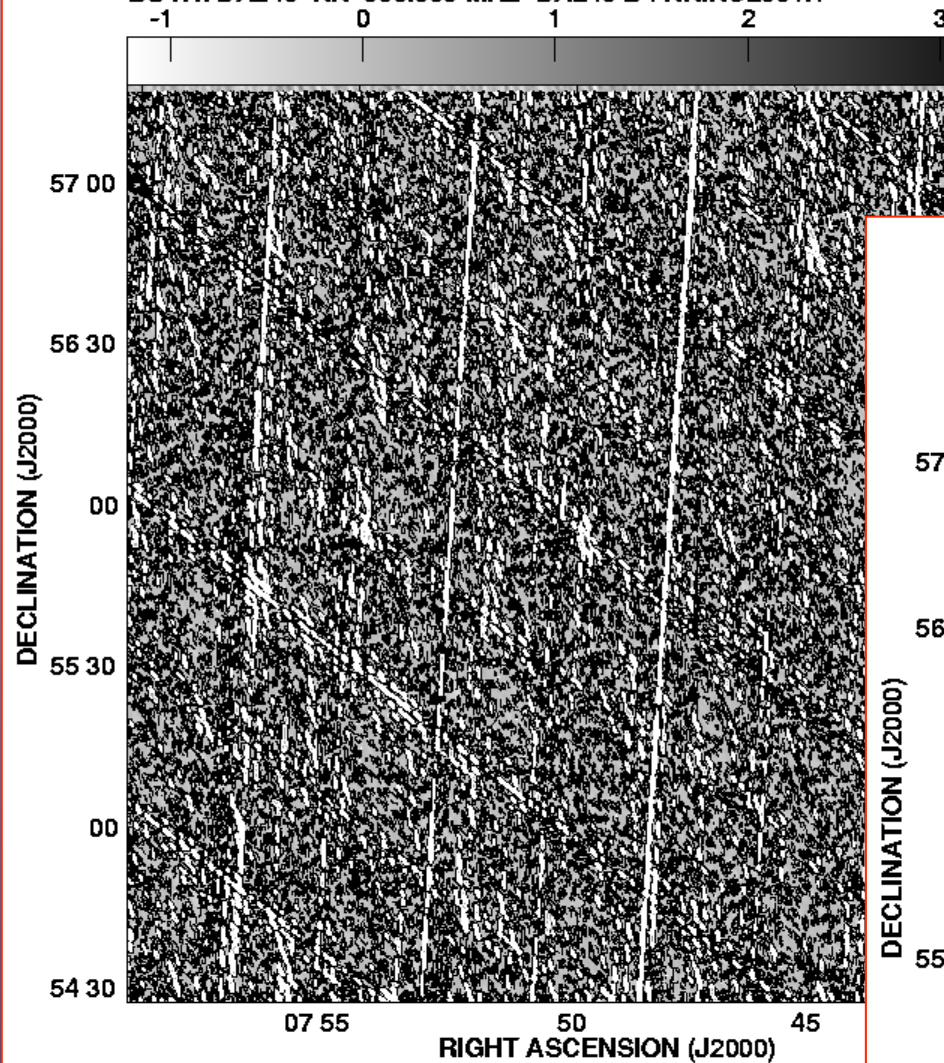
spectrum and maps  
 without and with  
 mitigation

BOTH: 3C390.3 RR 1625.586 MHZ 3C390IF5NOED.RCL001.1



Grey scale flux range= -0.053 2.530 JY/BEAM  
 Cont peak flux = 2.5301E+00 JY/BEAM  
 Levs = 1.000E-02 \* (-2, -1, 1, 2, 4, 6, 8, 12, 16,  
 20, 24, 32, 40, 48, 64, 80, 96, 128, 256, 512, 1024)

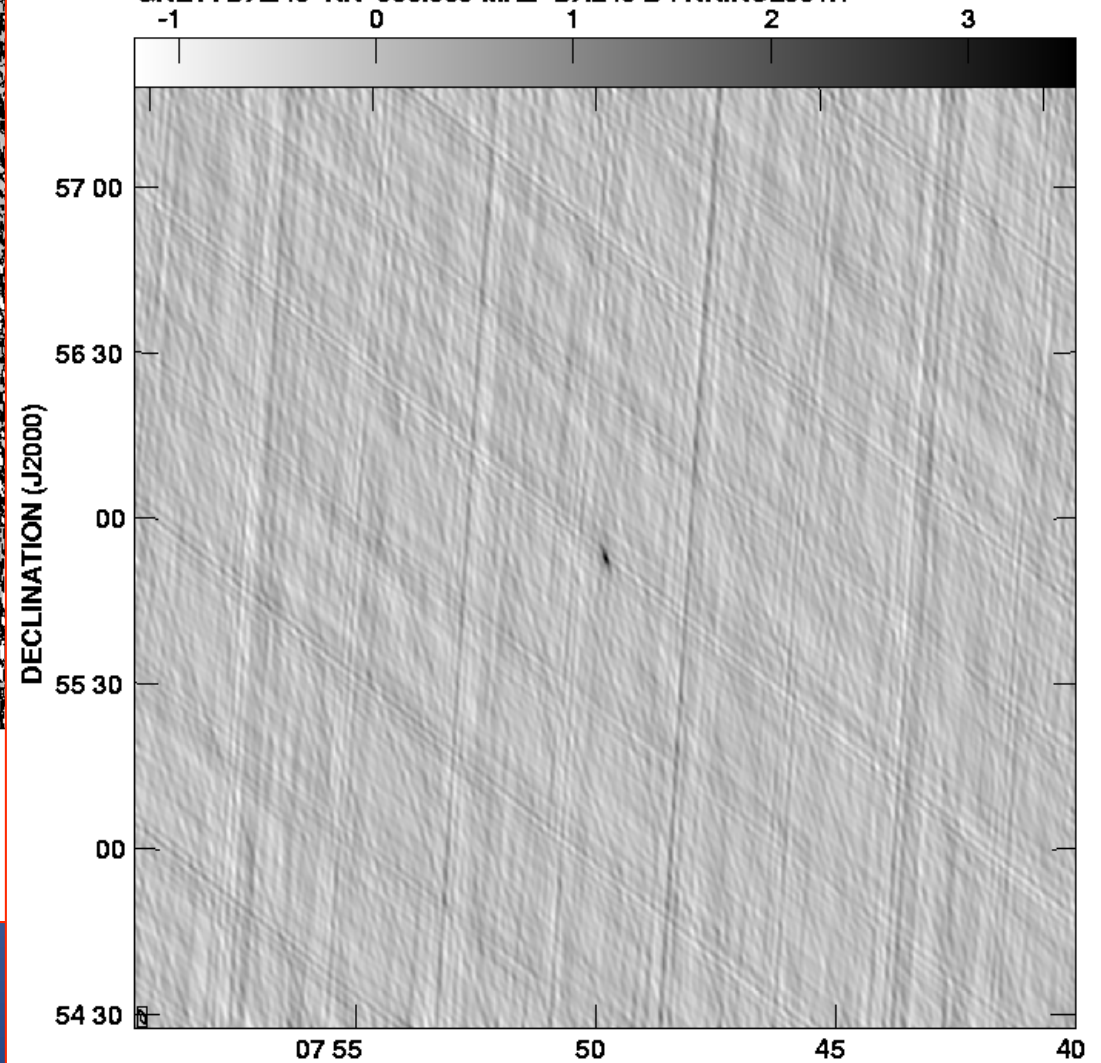
PLot file version 2 created 14-JUL-2004 16:41:38  
 BOTH: DA240 RR 356.953 MHZ DA240 B4 RR.RCL001.1



Grey scale flux range= -1.218 3.542 JY/BEAM  
 Cont peak flux = 3.5422E+00 JY/BEAM  
 Levs = 1.253E-01 \* (-10, -9, -8, -7, -6, -5, -4,  
 -3, -2, -1, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10)

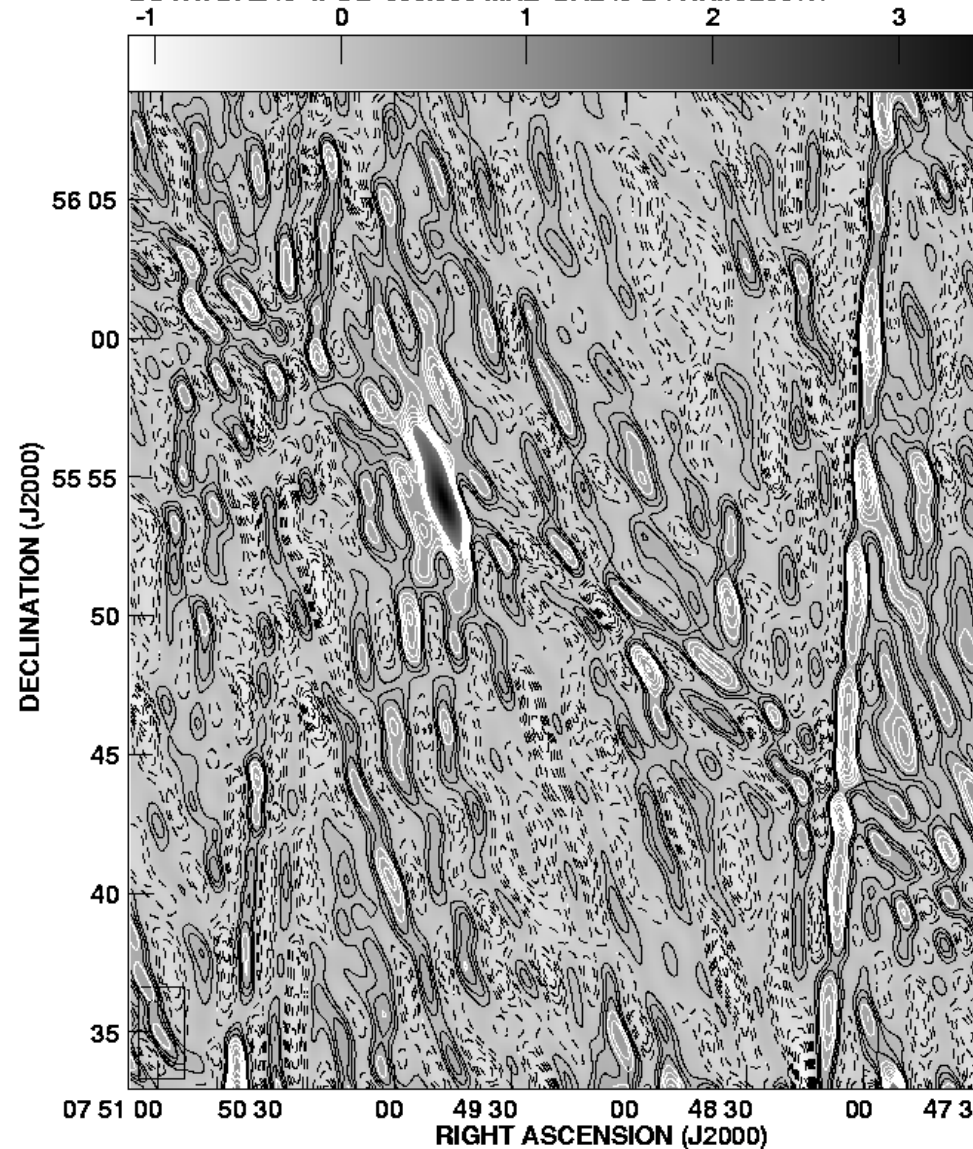
Before & After

PLot file version 1 created 14-JUL-2004 16:41:31  
 GREY: DA240 RR 356.953 MHZ DA240 B4 RR.RCL001.1



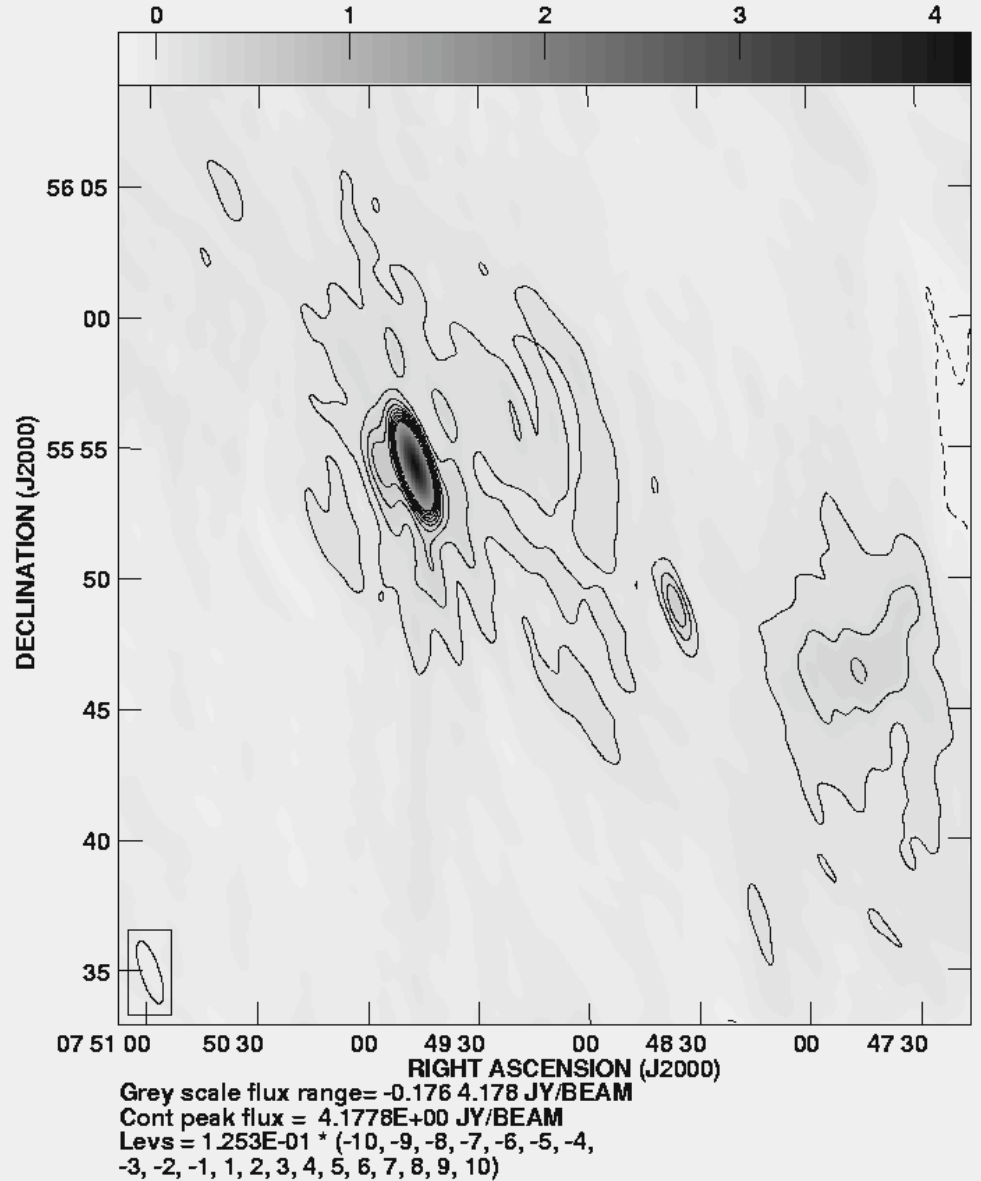
Grey scale flux range= -1.218 3.542 JY/BEAM

PLot file version 1 created 14-JUL-2004 14:23:13  
 BOTH: DA240 IPOL 356.953 MHZ DA240 B4 RR.ICL001.1

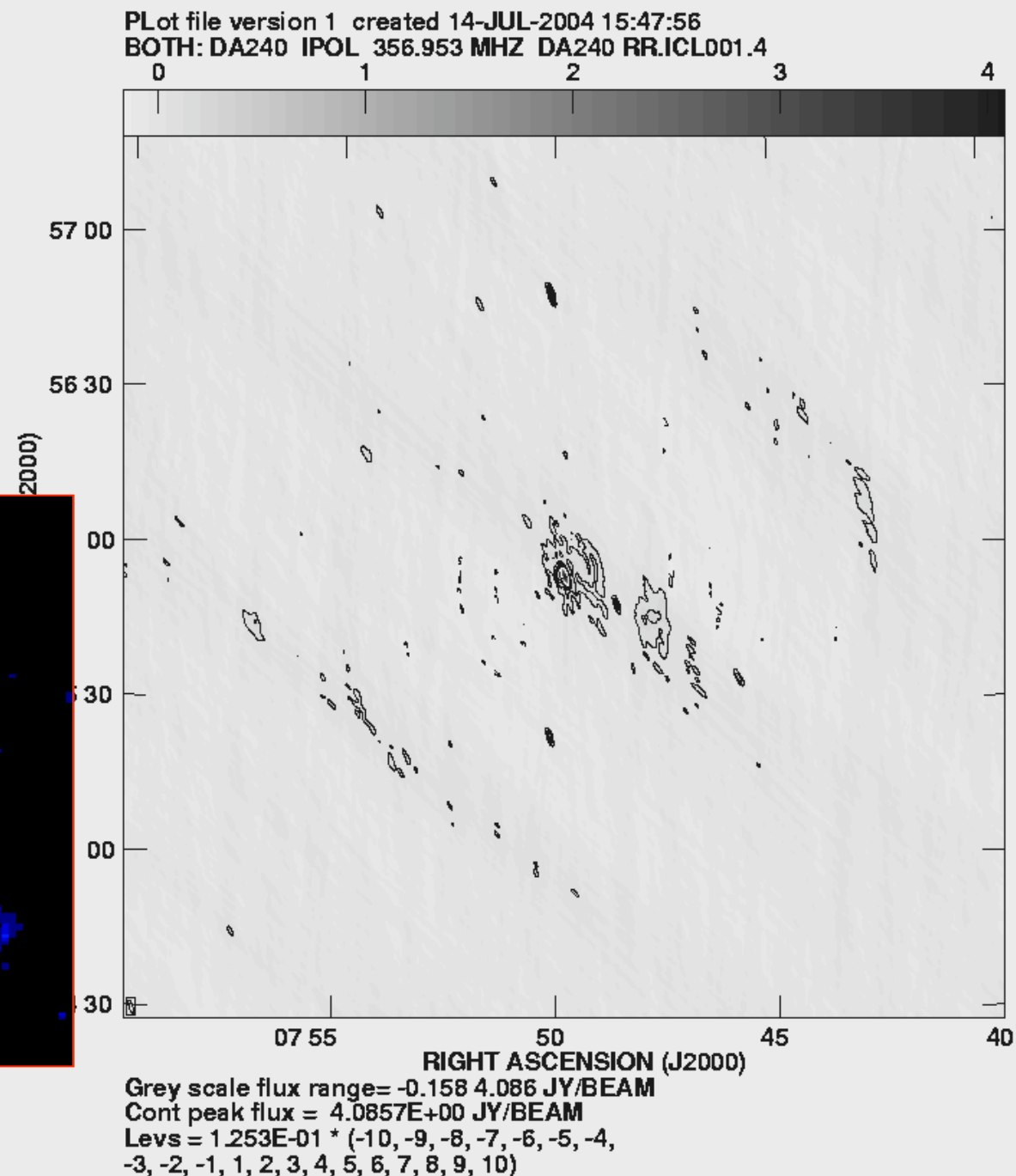
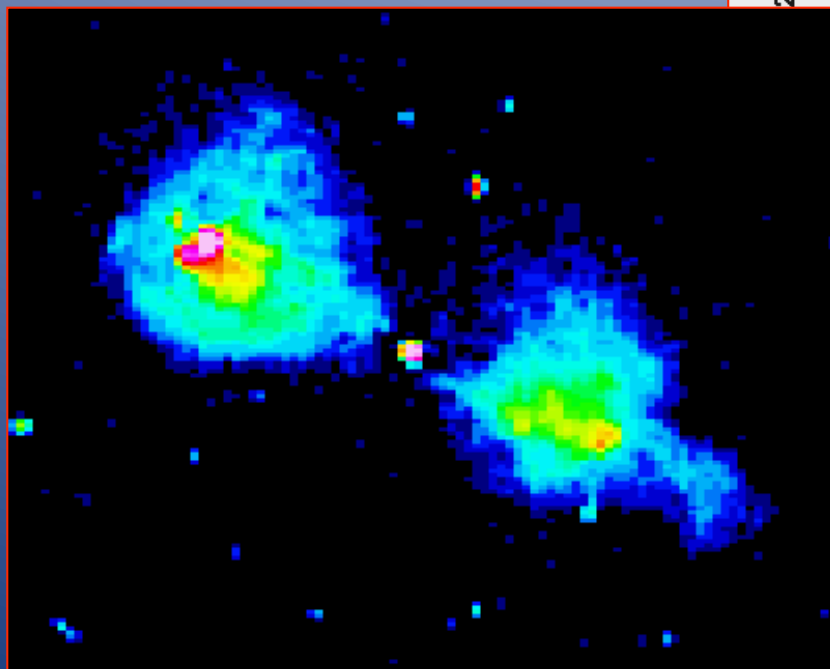


Before & After

PLot file version 1 created 14-JUL-2004 14:19:04  
 BOTH: DA240 IPOL 356.953 MHZ DA240 RR.ICL001.1

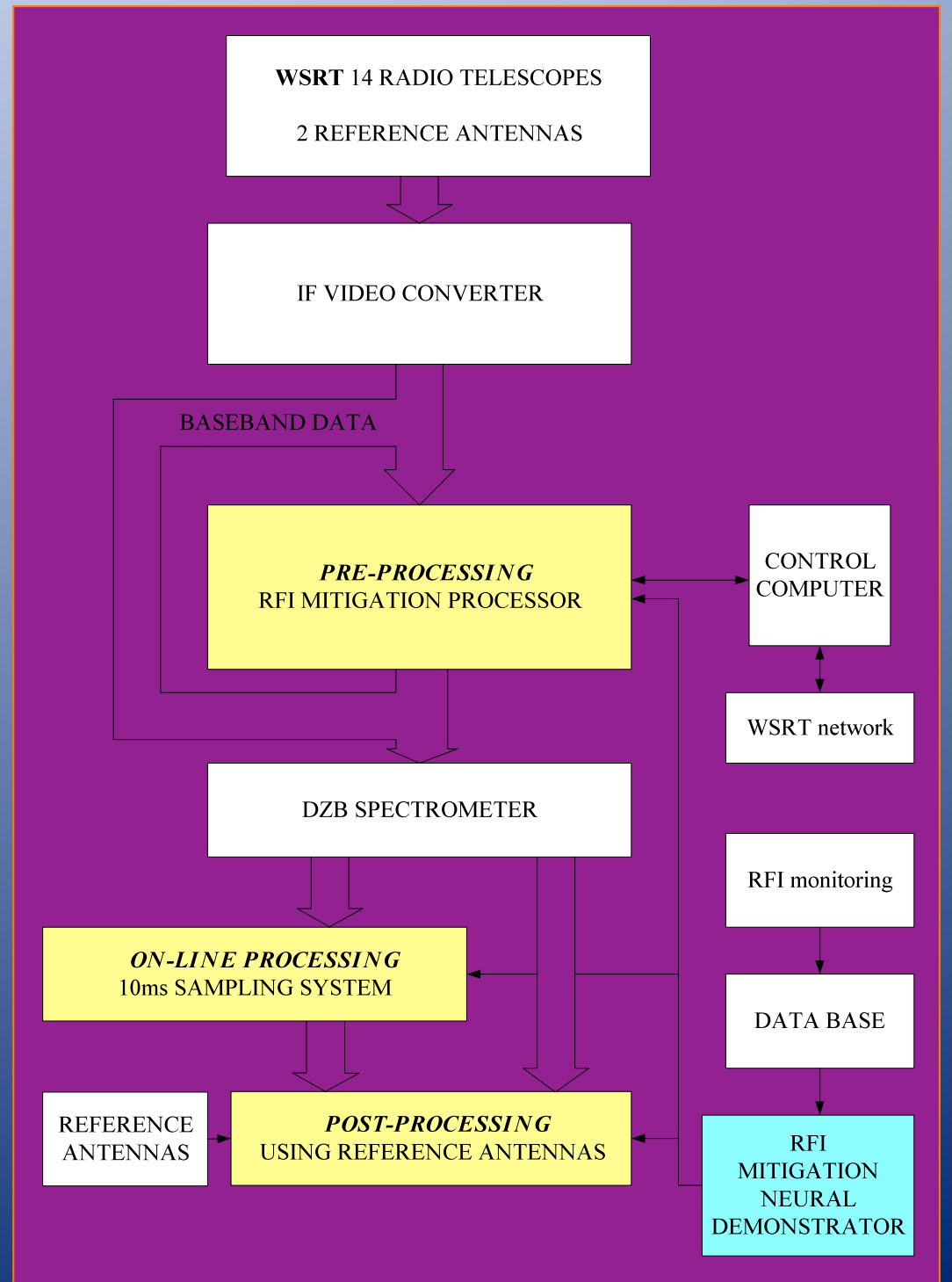


# DA240 field dirty map with applied mitigation

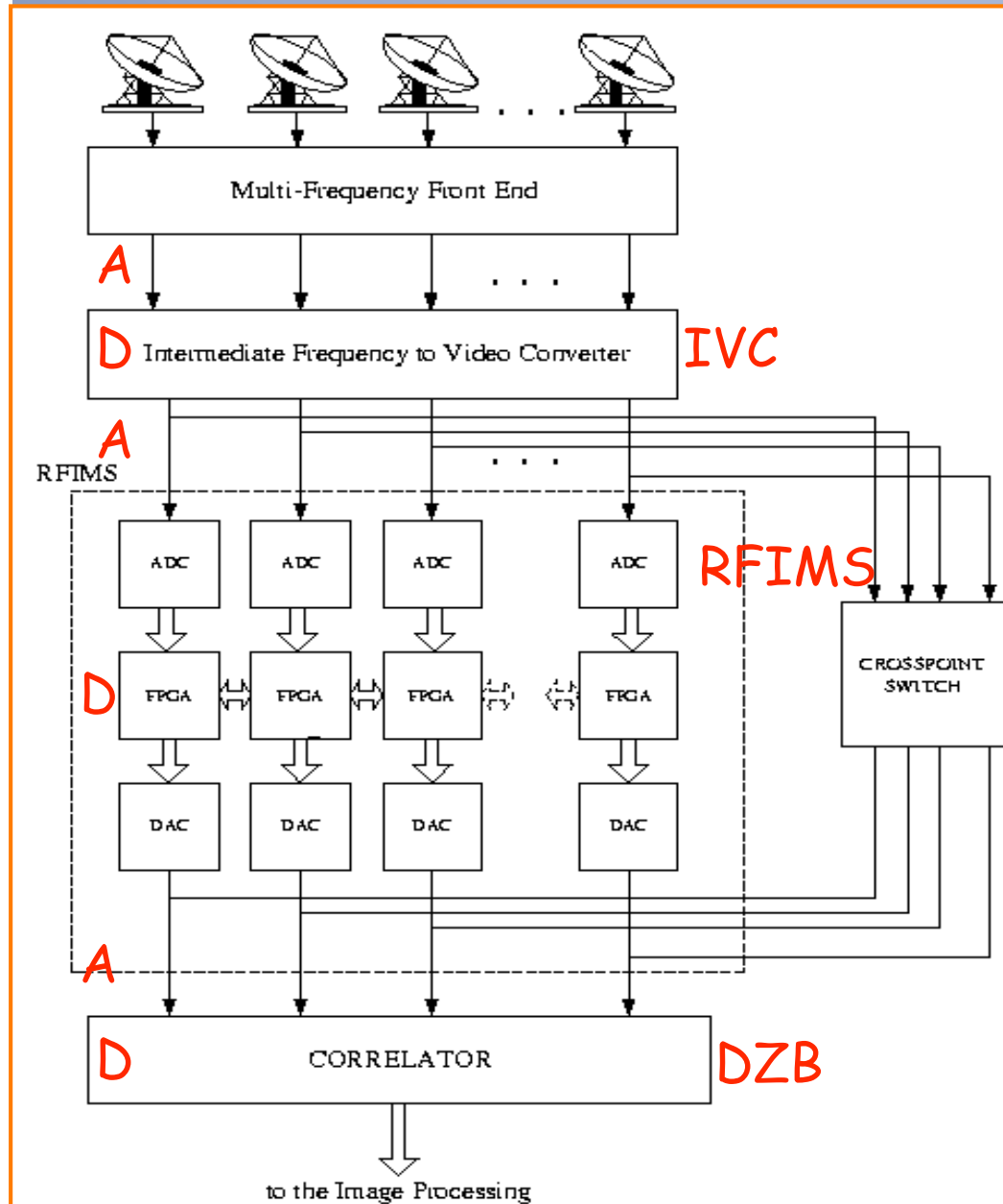


# Planned Implementation Mitigation Hardware in the WSRT system

- 3 locations in data flow of system
- different algorithms at different stages
- stapling of methods
- AI decision-making subsystem for control



# RFIMS project evaluation



- Pro
- RFIMS worked as expected
- Rms of cleaned channels better or equal to hand-cleaned results
- Few/no remnants of RFI signatures
- Con
- Digital components designed and added with analog connections
- RFIMS bookkeeping not used for later gain calibration
- No astronomer acceptance

# Evaluation

- Quantitative evaluation not always possible
  - RFI algorithms generally non-linear process
  - suppression of RFI depends on INR and RFI characteristics
  - RFI removal raises noise level and affects gain calibration
- Practical achievable limit of methods depends on INR
  - often not possible to remove RFI below noise floor
- Cumulative effect of RFI mitigation at subsequent stages
  - RFI characteristics change after each mitigation step
  - cumulative effect is not a linear sum
  - sum of what is practically possible at each step
- Cost of hardware capabilities and software development ?
  - rapidly changing parameter

# Conclusions

- There is no universal method for RFI mitigation
- Choice of method depends on RFI characteristics and RT and type of observation
- Great variety of successful mitigation options
- Encouraging results with both on-line and off-line data processing
- Continue with implementing RFI mitigation in single-dish and array instruments
- Looking forward to next generation instruments
- Acceptance of RFI mitigation by the users
- Spectrum management and RFI mitigation efforts need recognition

